

COXSACKIEVIRUS B4 EXPRESSION VECTORS AND USES THEREOF

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5 Related Applications

Each of the patent applications, patents, and other publications cited in this text, are hereby expressly incorporated herein by reference.

Background of the Invention

10 An ultimate goal in the treatment of HIV-infected persons is to prevent disease progression. Therapeutic HIV vaccines have been developed to protect HIV-infected individuals from progression to AIDS. Current HIV vaccines include Remune, an inactivated HIV-1 lacking the gp120 15 glycoprotein, VaxSyn, a recombinant gp160, and p24 virus-like particles. Another approach in the treatment of HIV-infected persons is to use chemotherapy to reduce viral load, followed by immunotherapy to stimulate desirable 20 HIV-specific immune responses, such as those observed among long-term asymptomatic HIV-infected individuals.

During the past ten years, chemotherapy for HIV-infected individuals has advanced rapidly. Current treatment consists of a cocktail of anti-retroviral drugs termed highly active anti-retroviral therapy (HAART). While 25 HAART reduces viral load, it does not eradicate HIV (Saag and Kilby, *Nature Medicine* 5(6): 609-11 (1999)). In addition, HAART is often associated with drug-related toxicity and the selection of drug-resistant mutants. Additional strategies would therefore be desirable to treat 30 HIV-infected individuals.

A body of work suggests that continued health maintenance in patients with long-term non-progressive HIV infection can be attributed to effective CTL responses in

general, and gag-specific CTL responses in particular, each driven by vigorous antigen-specific CD4+ T helper cells (Gotch et al., *Immunol. Rev.*: 170173-82 (1999)). Thus, one strategy in the treatment of HIV-infected individuals 5 involves the reduction of viral load with HAART, followed by modulation of the host's immune response such that it mimics the protective immunogenic response found among long-term asymptomatic HIV-infected patients (Gotch et al., *Immunol. Rev.*: 170173-82 (1999)).

10 Modulation of the host's immune response can be carried out with the use of a viral vaccine which induces in the host both CTL and CD4+ T helper cell responses. Thus, a viral vaccine which induces HIV-specific T cell responses, and which is further capable of inducing CTL and CD4+ T 15 helper cell responses, would be of great utility in the treatment of HIV- infected individuals.

Picornaviruses are attractive for use as viral vaccine vectors since they induce B and T cell immunity. In addition, the enteroviruses belonging to the Picornaviridae 20 family, which include poliovirus and coxsackievirus, are known to induce mucosal immunity which is thought to be an important line of defense for pathogens (including HIV) that gain access via a mucosal port of entry. Thus, coxsackieviruses in particular should be considered.

25 Coxsackieviruses are subdivided into two serogroups, A and B, which comprise 24 and 6 serotypes, respectively (Rueckert, R.R., *Fundamental Virology*. 3rd ed. Philadelphia: Lippincott-Raven, 1996). Coxsackieviruses of the B group have been implicated in diseases such as pancreatitis, type 30 I insulin-dependent diabetes mellitus, myocarditis and myositis. The existence of variants within a single serotype contributes to the variability in the pathogenesis of coxsackievirus infections. An avirulent coxsackievirus would be a suitable candidate for *in vivo* expression of HIV

sequences capable of stimulating both CTL and CD4+ T helper cell responses.

Picornaviruses, which include coxsackievirus, are among the smallest RNA viruses, with a diameter of 30 nm (reviewed in (Metnick, J.L., Fields Virology. In: Fields BN, Knipe DM, Howley PM, et al. editors. Third ed. Philadelphia: Lippincott - Raven Publishers, 1996: 655-705)). The coxsackievirus virion consists of a protein shell surrounding an RNA genome. The protein shell contains sixty copies of each of four capsid proteins, VP1, VP2, VP3 and VP4 that form an icosahedron. The enteroviral genome consists of a single-stranded RNA of positive polarity. Excluding the poly(A) tract, the genome of coxsackievirus B4 consists of 7,395 nucleotides and is composed of a 5' untranslated (UTR) region of 743 nucleotides, a 3' UTR of 105 nucleotides and an open reading frame encoding a polyprotein of 2,183 amino acids which undergoes multiple cleavages (Jenkins et al., *J. Gen. Virol.* 68: 1835-1848 (1987)). The open reading frame is divided into three regions, P1, P2 and P3. The four capsid proteins, VP1 through VP4, are encoded within the P1 region while the non-structural proteins that are involved in viral replication are encoded within the P2 and P3 regions. Two B cell epitopes within the VP1 coat protein of CB4-V have recently been identified (Halim and Ramsingh, *Virol.* 269: 86-94 (1999)). A linear B cell epitope spans residues 68 to 82 that corresponds to parts of beta strand B and the BC-loop. A conformational epitope, analogous to antigenic site 1B of poliovirus, is predicted to contain sequences from both the DE- and BC-loops of VP1.

Efforts to exploit the picornaviruses as expression vectors have focused mainly on poliovirus. Several strategies have been used to express a variety of sequences within poliovirus vectors. Small antigenic epitopes have been expressed within the BC-loop of the VP1 capsid protein

(Dedieu et al., *J. Virot.* 66: 3161-3167 (1992); Evans et al., *Nature* 339: 385-388 (1989); Jenkins et al., *J. Virot.* 64: 1201-1206 (1990); Murdin et al., *Infect. Immun.* 61: 4406-4414 (1993)). The resulting chimeras were either 5 genetically stable, genetically unstable or non-viable. The stability of the recombinants seemed to depend on the retention of some flanking viral loop sequences and the size of the inserted sequence.

Two approaches have been used to generate live 10 poliovirus vectors. One approach expresses foreign sequences in dicistronic vectors containing an additional internal ribosome entry site (IRES) (Lu et al., *J. Virol.* 69: 4797-4806 (1995)). While the dicistronic vector system resulted in replication-competent viruses, they were 15 genetically unstable after just a few passages in tissue culture. Another strategy positions foreign sequence, flanked by artificial protease cleavage sites, at different sites within the polyprotein (Andino et al., *J. Virot.* 72: 20-31 (1994)). A larger than normal precursor polyprotein 20 is synthesized and subsequently cleaved into its normal products and the foreign protein. Lately, some controversy has arisen regarding the utility of this strategy. Recombinants constructed by fusing the open reading frame 25 (ORF) of the green fluorescent protein gene or the gag gene to the N-terminus of the poliovirus polyprotein were severely impaired in viral replication and genetically unstable (13). It is known that the site of insertion of the foreign sequence influenced viral replication (Tang et al., *J. Virot.* 71: 7841-7850 (1997)). A small 30 amino-terminus insertion delayed virus maturation and yielded a thermosensitive particle. However, insertion at the junction between the P1 and P2 regions yielded a chimeric poliovirus that replicated like the wild type virus. While genetic instability remained a problem, the 35 situation could be partially alleviated by altering the

sequences flanking the insertion point. However, since poliovirus has been targeted for global eradication, the feasibility of using live poliovirus as a vector becomes uncertain.

Recent studies have led to the identification of an attenuated-live coxsackievirus variant, CB4-P (Ramsingh et al., *Virus Res.* 14: 347-58 (1989); Caggana et al., *J. Virol.* 67: 4797-803 (1993); Ramsingh et al., *J. Virol.* 71(11): 8690-7 (1997)). The major determinant of virulence was mapped to the 5' end of the viral genome through the use of recombinant chimeric viruses derived from cDNA clones of the CB4-P variant and a virulent virus. The 5' end of the genome encompasses both the 5' untranslated region (UTR) and the P1 region, which encodes the four capsid proteins (Ramsingh et al., *Virus Res.* 23: 281-92 (1992)). Comparison of sequence data in the 5' region between the CB4-P variant and a virulent pancreateropic variant of coxsackievirus, CB4-V, revealed five mutations in the CB4-P variant that resulted in amino acid substitutions in VP1, VP2, and VP4. In particular, one residue, Thr-129 of VP1, is a major determinant of virulence in the 5'UTR for coxsackievirus B4 (Caggana et al., *J. Virol.* 67: 4797-803 (1993)). An arginine residue at position 16 of VP4 also influences virulence but to a lesser extent than thr-129 of VP1 (Ramsingh and Collins, *J. Virol.* 69: 7278-7281 (1995)). The potential of this variant for use as a viral vector for delivery of heterologous polypeptides to a host has yet to be explored.

At least one attenuated strain of coxsackievirus has been used experimentally as a recombinant viral vaccine. Group B coxsackieviruses (CVB) cause human myocarditis, in which human adenovirus type 2 (Ad2) has been implicated as an agent. It has recently been demonstrated that an attenuated group B coxsackieviruses type 3 (CVB3) vector can stably express an antigenic polypeptide of Ad2 from within

the vector open reading frame to ultimately elicit a protective immune response against both viruses in mice (Hofling et al., *J. Virol.* 74: 4570-8 (2000)).

Summary of the Invention

5 One aspect of the present invention relates to a recombinant attenuated coxsackievirus B4 virion which is engineered to contain a heterologous nucleic acid within the open reading frame of its genome, wherein the heterologous nucleic acid encodes a heterologous polypeptide which is  
10 expressed by the virion. Specific examples of attenuated coxsackievirus B4 virions suitable for use in the present invention are CB4-P and JVB. In one embodiment the heterologous nucleic acid is inserted into the P1 region of the genome such that the heterologous polypeptide is  
15 expressed as a fusion of a viral capsid protein.

Another aspect of the present invention relates to a nucleic acid comprising the complete genome of the recombinant attenuated coxsackievirus B4 virion which is engineered to contain a heterologous nucleic acid within the open reading frame of its genome, wherein the heterologous nucleic acid encodes a heterologous polypeptide which is  
20 expressed by the virion. The nucleic acid is preferably an infectious cDNA or RNA.

Another aspect of the present invention relates to a  
25 method for inducing an immune response in an individual to the heterologous polypeptide which is expressed by the recombinant attenuated coxsackievirus B4 virion. The method comprises administering the recombinant attenuated coxsackievirus B4 virion to the individual under conditions appropriate for infection. In a preferred embodiment, the heterologous polypeptide is an antigen derived from a viral pathogen, preferably HIV.

Another aspect of the present invention relates to a method for delivering the heterologous polypeptide expressed

by the recombinant attenuated coxsackievirus B4 virion to an individual. The method comprises administering the recombinant attenuated coxsackievirus B4 virion to the individual under conditions appropriate for infection.

5 Brief Description of the Drawings

Figure 1 shows the predicted DE-loop of VP1 of CB4-P contains a T helper cell epitope. Splenic CD4 T cells from CB4-P-infected BALB/c mice (closed symbols) or uninfected mice (open symbols) were tested in a proliferation assay. A 10 total of eight mice were infected with CB4-P. Results of four infected mice are shown. (A) T cell proliferation in response to a viral peptide, 122P, spanning residues 122 to 136 of VP1 of CB4-P. (B) T cell proliferation in response to CB4-P and an unrelated peptide. An unrelated sequence, a 15 peptide of the ryanodine receptor (RyR) (RAENEKDATTEKNKKR) (accession number, CAA49225) was used at two different concentrations (1  $\mu$ g/well, 5  $\mu$ g/well). Samples were analyzed in duplicate and the mean values are shown. (C) Antigenic structure of the predicted BC- and DE-loops of VP1 20 of CB4-P. Previous work identified a linear B cell epitope (site 1) spanning residues 75-82 and a conformational B cell epitope that may be analogous to site 1B of poliovirus. The T helper cell epitope, identified in panel (A) with peptide 122P, is outlined in bold.

25 Figure 2 shows a recombinant, chimeric coxsackievirus containing ten amino acids of ovalbumin sequence is genetically stable after multiple passages in cell culture. The top line shows a schematic representation of the structural organization of the coxsackievirus B4 (JVB 30 strain) genome. The predicted DE-loops of VP1 of CB4-P and the cassette vector, spanning amino acid residues 128 to 140, are shown. The VP1 vector was constructed by introducing two unique restriction enzyme sites (StuI, Nari) into a full-length infectious cDNA clone of CB4-P which

resulted in four amino acid substitutions (shown in bold). After six passages in LLC-MK2(D) cells, the genetic stability of CB4-P/ova10 was assessed by sequence analysis.

5 Amino acid substitutions in the VP1 vector and in CB4-P/ova10 are in bold. The ovalbumin sequence in CB4-P/ova10 is in lower case.

Figure 3 shows the thermostability of a recombinant, chimeric coxsackievirus. Aliquots of CB4-P (•), CB4-V (○), and CB4-P/ova10 (Δ) were heat-inactivated at 44° for 30 and 10 60 minutes. Residual infectivity was assessed by plaque assay. Experiments were done twice and the mean values and standard deviations are shown.

Figure 4 shows the replication of a chimeric coxsackievirus *in vitro* and *in vivo*. (A) Viral replication under single-step conditions. Each experiment was done a total of three times. The mean values and standard deviations are shown. (B) Viral replication in pancreatic tissues of mice. Pancreatic tissues were harvested from groups of three B10.S(12R) mice and tested individually for infectious virus. The mean and standard deviations are shown. •, CB4-P; Δ, CB4-P/ova10.

Figure 5 shows CD4 T cells from CB4-P/ova10-infected mice proliferated in response to ovalbumin peptides. Splenic CD4 T cells from BALB/c mice infected with CB4-P/ova10 (closed symbols) or CB4-P (open symbols) were tested in a proliferation assay for their ability to respond to ovalbumin peptides. Groups of eight mice were infected with each virus. Results of groups of four mice are shown. (A) Ovalbumin peptides tested in the proliferation assay. The ova/virus14 peptide is contained within the CB4-P/ova10 recombinant and contains 10 amino acids of ovalbumin sequence flanked by two amino acids of viral sequence. The OVA 323-339 peptide and the CB4-P/ova 10 recombinant share 11 common amino acids, three conserved residues (dots), and 35 three non-conserved residues. The core sequence of

ovalbumin that is critical for binding to I-A<sup>d</sup> is boxed. The predicted DE-loop of VP1 of the recombinant is bolded.

(B) T cell proliferation in response to the ova/virus14 peptide. (C) T cell proliferation in response to OVA 5 323-339. (D) T cell proliferation in response to CB4-P, CB4-P/ova10 and an unrelated peptide (RyR). Samples were analyzed in duplicate and the mean values are shown.

Figure 6 shows an avirulent variant, CB4-P, can protect against infection with a virulent virus, CB4-V. Pancreatic 10 tissues, harvested two weeks after the second injection, were processed for histology and stained with hematoxylin and eosin. (A) uninfected; (B) PBS then CB4-P, 2 weeks later; (C) PBS then CB4-V, 2 weeks later; (D) CB4-P then 15 CB4-V, 2 weeks later. Abbreviations: A, acinus; IL, islet of Langerhans; In, inflammatory infiltrate. Magnification, 160X.

Figure 7 shows construction of two coxsackievirus vectors for expressing foreign sequences within the VP1 capsid protein and at the amino-terminus of the viral 20 polyprotein. A schematic representation of the structural organization of the coxsackievirus B4 (JVB strain) genome is shown. The predicted DE-loops of VP1 of CB4-P and the VP1 cassette vector, spanning amino acid residues 128 to 140, are shown. The VP1 cassette vector was constructed by 25 introducing two unique restriction enzyme sites (StuI, Nari sites) (underlined) into a full-length infectious cDNA clone of CB4-P. Amino acid substitutions are in lower case. The polyprotein cassette vector was constructed by inserting an MluI cloning site (underlined) and a sequence encoding a 30 recognition site (in bold) for the 3C protease immediately after the initiator codon of the VP4 sequence. The additional six amino acids in the polyprotein cassette vector are in lower case.

Figure 8 shows recombinant, chimeric coxsackieviruses 35 containing nine and ten amino acids of HIV p24 sequence

within the DE-loop of the VP1 capsid are genetically stable. After six passages in LLC-MK2(D) cells, the genetic stability of the recombinants was assessed by sequence analysis. Total RNA was extracted from infected cells, 5 reverse transcribed, amplified by PCR and sequenced. At least 200 bases on either side of the insert was sequenced. Mutations were not observed in the flanking sequences. Dots indicate identity and dashes indicate gaps. HIV sequences are in bold.

10 Figure 9 shows recombinant, chimeric coxsackieviruses containing 35 and 62 amino acids of HIV p24 sequence, at the amino-terminus of the viral polyprotein are genetically stable. After six passages in LLC-MK2(D) cells, the genetic stability of the recombinants was assessed by sequence analysis. 15 Total RNA was extracted from infected cells, reverse transcribed, amplified by PCR, and sequenced. At least 200 bases on either side of the insert was sequenced. A point mutation in the VP4 sequence was observed in both recombinants. Dots indicate identity and dashes indicate gaps. 20 The MluI cloning site is underlined. The inserted protease site is boxed.

Figure 10 shows expression of HIV p24 sequences in cells infected with CB4-P/HIV recombinants. Cells were infected with CB4-P, CB4-P/HIV35, and CB4-P/HIV62 and 25 radiolabeled. Lysates were immunoprecipitated with anti-CB4 (lanes B-E) and anti-gag p24 (lanes F-1) antibodies. Larger versions of the P1 precursor (circles) and VP0 (squares) were detected, with both antibodies, in cells infected with the recombinants. An incompletely processed P1 precursor is 30 identified with an asterisk.

Figure 11 shows CD4+ T cells from mice infected with CB4-P and later with the CB4-P/oval10 recombinant proliferated in response to ovalbumin peptides. Splenic CD4+T cells from doubly-infected mice (closed symbols) or 35 mice infected with only CB4-P (open symbols) were tested in

a proliferation assay for their ability to respond to ovalbumin peptides. Groups of eight mice were doubly- or singly-infected. Results of groups of four mice are shown.

(A) T cell proliferation in response to a chimeric ova/virus peptide (*EMISQAVHAAHAEA*) (viral amino acids are in italics).  
5 (B) T cell proliferation in response to OVA 323-339 (*ISQAVHAAHAEINEAGR*). (C) T cell proliferation in response to CB4-P, CB4-P/ova10 and an unrelated peptide (RyR).  
10 Samples were analyzed in duplicate and the mean values are shown.

Figure 12 shows CoxsackieJHIV chimeric viruses retain the thermostable phenotype of the parental CB4-P variant. Aliquots of virus were heat-inactivated at 44° C for 30 and 60 minutes. Residual infectivity was assessed by plaque assay. Experiments were done twice and the mean values and standard deviations are shown. CB4-P (●; CB4-V (○);  
15 CB4-P/HIV9<sub>104</sub> (▼); CB4-P/HIV35 (Δ); and CB4-P/HIV62 (□).

Figure 13 shows replication of the coxsackie/HIV recombinants in cell culture and in mice. (A) Viral replication under single-step conditions. LLC-MK2 (D) cells were infected at a multiplicity of infection of 2-5 pfu per cell. Virus was harvested after repeated freezing and thawing of infected cells. Each experiment was done a total of three times. The mean values and standard deviations are shown. (B) Viral replication in pancreatic tissues of mice. Pancreatic tissues were harvested from groups of three B10.S(12R) mice and tested individually for infectious virus. The mean and standard deviations are shown. CB4-P (●); CB4-P/HIV9<sub>104</sub> (▼); CB4-P/HIV35 (Δ); and CB4P/HIV62 (□).  
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Figure 14 shows histological assessment of pancreatic tissue injury after infection with CB4-P/HIV35 and CB4-P/HIV62. Pancreatic tissues were harvested at different times post-infection (p.i.) and processed for routine histology and stained with hematoxylin and eosin. (A-C) 4  
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days p.i; (D-F) 10 days p.i. A,D. CB4-P; B,E. CB4-P/HIV35; C,F. CB4-P/HIV62. Less damage was observed in tissues from mice infected with the recombinants. Abbreviations: A, acinus; IL, islet of Langerhans; In, inflammatory 5 infiltrate. Magnification, 127X.

Detailed Description of the Invention

The term "live" as used herein to describe a virus, refers to a virus which is capable of self-propagation when placed in the appropriate cellular environment. The term 10 "subunit vaccine" is used herein, as in the art, to refer to a viral vaccine that does not contain virus, but rather contains one or more viral proteins or fragments of viral proteins. As used herein, the term "multivalent" means that the vaccine contains at least two epitopes having different 15 amino acid sequences.

Aspects of the present invention are based on the discovery that a live, attenuated group B, type 4, coxsackievirus (referred to herein as CB4) virion can be used to express a heterologous polypeptide (e.g., in vitro 20 or in a host organism). Such a virus, engineered to express the heterologous polypeptide is referred to herein as a coxsackievirus vector, a CB4 vector, or a viral vector.

One aspect of the present invention relates to a CB4 vector. The CB4 vector is a recombinant attenuated CB4 25 virion which is engineered to contain a heterologous nucleic acid within its genome such that the heterologous nucleic acid encodes a heterologous polypeptide which is expressed by the virion. (The term "heterologous polypeptide" refers to a polypeptide which is not otherwise naturally expressed by the virus. The term "heterologous 30 nucleic acid" refers to any nucleic acid which is not otherwise naturally present in the genome of the virus at the position in which it is inserted.) The heterologous nucleic acid is inserted into the genome of the CB4 virion

by recombinant DNA technology, preferably into the open reading frame of the viral genome, preferably such that it is inframe with the viral open reading frame. In one embodiment, the heterologous nucleic acid is inserted into 5 the P1 region of the genome, which encodes the viral capsid proteins.

The coxsackievirus variant CB4-P has been used as a prototype to demonstrate that an attenuated coxsackievirus B4 virion can be successfully engineered to stably express a 10 heterologous protein in a host. The terms "stably expressed" and "stable expression", as used herein, refer to expression of the heterologous polypeptide from the viral vector for a duration sufficient to be of therapeutic use. This may be as short as 3-5 replication cycles of the virus, 15 or alternatively may be a greater number of replication cycles. It was not heretofore known whether stable expression of foreign sequences could be obtained from an attenuated B4 coxsackievirus. Furthermore, because there is a high incidence of neutralizing antibody in the general 20 population to group B coxsackieviruses (Pallansch, M.A., *Curr. Topics Microbiol. Immunol.*: 22313-30 (1997)), it was not known heretofore whether pre-existing immunity to the virus would affect subsequent administration of the viral vector, or expression of the heterologous polypeptide 25 contained therein. The findings made with the CB4-P virus apply to any attenuated B4 coxsackievirus. Thus all embodiments of the present invention described herein, are intended to equivalently apply to viral vectors made from any attenuated B4 coxsackievirus. Determination that a 30 given strain or variant of B4 coxsackievirus is attenuated (non-pathogenic and avirulent) is made, for example, by characterization of that virus in mice, as discussed in Example 2 below. One example of such an attenuated B4 coxsackievirus is J.V.B. (Benschoten), ATCC reference number 35 184 (referred to herein as JVB). The prototype virus CB4-P

is originally derived from JVB and is highly similar to JVB in nucleotide and amino acid sequence. Because of this strong conservation, the JVB virus is expected to perform as an equivalent to CB4-P in the generation and use of the  
5 viral vector described herein.

The term "wild type" as used herein, refers to the B4 coxsackievirus, prior to any recombinant manipulations made to produce the viral vector. Through sequence alignment, one of skill in the art can identify nucleotide and amino  
10 acid sequences of other CB4 viruses (e.g., JVB) which correspond to the nucleotides or amino acids of CB4-P. Such a determination falls within the definition of routine experimentation.

The point within the viral genome at which the  
15 heterologous nucleic acid is inserted will directly effect the protein product which is expressed. Insertion of the heterologous nucleic acid in frame with viral coding sequences leads to the exogenous polypeptide being expressed as a fusion with viral proteins. Such a fusion can be an N-terminal fusion, a C-terminal fusion, or an internal fusion (e.g., where the exogenous polypeptide is flanked on both sides by viral polypeptide). In one embodiment the exogenous polypeptide is expressed as a fusion with a viral capsid protein. Such an fused polypeptide may be any size  
20 useful in the generation of an immune response to that polypeptide. Preferably it is at least 5 amino acids in length. Genetic stability of a heterologous nucleic acid inserted into the virus genome to produce a fused  
25 heterologous polypeptide is expected to be highest if it is at least 24 nucleotides in length and no greater than 39 nucleotides. However larger and smaller inserts may still retain sufficient genetic stability to be considered therapeutically useful.

Fusion of the exogenous polypeptide at an immunogenic  
30 portion of the viral capsid protein (e.g., adjacent a region

which contains T cell epitopes and/or B cell epitopes) results in the exogenous polypeptide being immunogenic in the host, such that it elicits a T cell and/or B cell response. One of skill in the art will recognize that such an exogenous polypeptide itself may be non-antigenic in another context. A viral vector which contains such an insertion is expected to elicit both a cytotoxic T cell and a T helper cell response to the heterologous polypeptide. In one embodiment, the heterologous polypeptide is expressed as an internal fusion of VP1, preferably within the BC or DE loop. In a preferred embodiment, the viral vector is constructed such that the heterologous nucleic acid is located directly downstream of codon 129 of VP1 coding sequences. The term "directly downstream" or "directly upstream", as used herein, indicates that the heterologous nucleic acid coding sequences are the next adjacent codon. A viral vector can also be produced by replacing short stretches of viral nucleotide sequences with the nucleotide sequences of the heterologous nucleic acid. For example, nucleotide sequences which correspond wild type CB4-P coding sequences for VP1 amino acids 130-137 can be replaced with the heterologous nucleic acid.

As an alternative to expressing the heterologous polypeptide as a fusion with viral protein sequences, the heterologous nucleic acid can be expressed as an isolated polypeptide. A isolated polypeptide is produced for instance if the exogenous polypeptide is expressed as part of the virus polyprotein at such a position that it is susceptible to cleavage by a viral protease. Such a viral vector is produced for example, by insertion of the heterologous nucleic acid in frame directly upstream of VP4 coding sequences. Such an insertion results in the generation of a heterologous polypeptide which is expressed as an amino-terminal fusion of the viral polyprotein. This amino-terminal fusion is subject to cleavage by viral

proteases, and thus is ultimately expressed by the virus as an isolated polypeptide. In a preferred embodiment, the heterologous nucleic acid contains an ATG as its first codon, to facilitate translation. Alternatively, it is 5 inserted directly downstream of the first ATG of VP4.

Sizes of between 105-357 nucleotides are expected to be most stably maintained at such a position within the viral genome. Heterologous nucleic acids which are smaller or larger, may be less stable within the viral genome, but are 10 still likely to be retained for several replication cycles (at least 4 replication cycles), and therefore are still of therapeutic value.

If the heterologous polypeptide is itself immunogenic (e.g., contains B cell epitopes and/or T cell epitopes) it 15 will elicit an immune response in the host when expressed as an isolated polypeptide by the viral vector. Such a polypeptide is generally at least 15 amino acids in length, and preferably at least 20 amino acids in length. A heterologous polypeptide which is immunogenic, and is 20 expressed in this manner, is expected to elicit at least a cytotoxic T cell response in the host.

Delivery of a polypeptide to a host individual is not however limited to applications for stimulating an immune response. The polypeptide may alternatively have another 25 function within the host (e.g., enzymatic activity), as discussed below.

Another aspect of the present invention relates to a nucleic acid comprising the complete genome of the CB4 vector described herein. The nucleic acid may be DNA (such 30 as a plasmid DNA) or RNA. Preferably, the nucleic acid is either an infectious cDNA or an infectious RNA of the CB4 vector genome, wherein infection results in the production of a live virion.

Another aspect of the present invention relates to a 35 method for inducing an immune response to a polypeptide in

an individual by administering a CB4 vector which expresses the polypeptide to the individual. For most applications, the CB4 vector is administered to the individual under conditions appropriate for infection. In some circumstances 5 however, it may be preferable to inactivate the CB4 vector prior to administration to the individual. The individual may be any host vertebrate, as discussed below. The CB4 vector may be formulated with a physiologically acceptable carrier. A CB4 vector which expresses a heterologous 10 polypeptide which is derived from a pathogen (e.g., virus, bacteria, parasite) of the individual may serve as an effective a vaccine for that pathogen. Such a vaccine may be used to produce protective immunity from infection, or alternatively to control a preexisting infection.

15 Experiments detailed in the Exemplification section below indicate that the coxsackievirus variant, CB4-P, remains avirulent in mice lacking CD4+ T helper cells. This finding has significant ramification as to uses of the viral vector in immunocompromised individuals, indicating that it 20 is a safe and effective means of delivering an antigenic epitope to an immunocompromised individual. Such an application is highly relevant to delivery of an antigenic epitope to individuals infected with HIV. One such application is the therapeutic delivery of HIV antigens to 25 an individual in order to inhibit or prevent disease progression.

Thus, a CB4 vector can be used to elicit broad 30 responses against HIV. The herein discussed strategy with respect to HIV can also be employed with respect to other pathogens, such as pathogens that attacks the immune system and/or T-cells such as retroviruses, lentiviruses, and immunodeficiency viruses, such as HIV, SIV, FIV and the like.

Another aspect of the invention relates to the use of 35 an attenuated CB4 virus, such as CB4-P, to produce immunity

to a virulent CB4 virus. Since the CB4-P virus has been shown to be non-virulent in immunocompromised individuals, it has significant value as a live vaccine for virulent strains of B4 coxsackieviruses in such an individual.

5       Experiments detailed in the Exemplification section below indicate that a live, attenuated coxsackievirus B4-P variant can be used as an expression vector, from which an exogenous or heterologous or foreign epitope is expressed, advantageously from within the immunogenic CB4-P coat 10 protein region of the genome, and wherein the vector is administered as an immunogenic vaccine composition in an amount and duration sufficient to induce in a subject a T cell response and/or an otherwise desired immunogenic response.

15      Aspects of the present invention also relate to CB4 vectors, expression products thereof and therapeutic, immunological, immunogenic or vaccine compositions thereof comprising the attenuated coxsackievirus B4 and/or the expression products, for instance, epitopic region(s), 20 and/or polypeptide(s) and/or fragment(s) expressed therefrom (e.g., an exogenous or heterologous or foreign epitope such as HIV p24), whether expressed *in vitro* or *in vivo*.

25      As to the inserted exogenous nucleotide sequence in a viral vector of the invention, it preferably encodes an expression product comprising: an epitope of interest, a biological response modulator, a growth factor, a recognition sequence, a therapeutic gene or a fusion protein. With respect to these terms, reference is made to the following discussion, and generally to Kendrew, THE 30 ENCYCLOPEDIA OF MOLECULAR BIOLOGY (Blackwell Science Ltd., 1995) and Sambrook, Fritsch and Maniatis, Molecular Cloning, A Laboratory Manual, 2nd Ed., Cold Spring Harbor Laboratory Press, 1982.

35      An epitope of interest is an immunologically relevant region of an antigen or immunogen or immunologically active

fragment thereof (e.g., from a pathogen or toxin of veterinary or human interest). The term polypeptide fragment or protein fragment, as used herein, is defined as 5 or more contiguous residues of a larger protein (e.g., a naturally occurring protein).

An epitope of interest can be an antigen of a pathogen or toxin, or a portion of an antigen of a pathogen or toxin, or prepared from an antigen of a pathogen or toxin, or from another antigen or toxin which elicits a response with 10 respect to the pathogen, or from another antigen or toxin which elicits a response with respect to the pathogen, such as, for instance: a Morbillivirus antigen (e.g., a canine distemper virus or measles or rinderpest antigen such as HA or F; a rabies glycoprotein, such as rabies glycoprotein G; 15 an avian influenza antigen, such as turkey influenza HA, Chicken/Pennsylvania/1/83 influenza antigen such as a nucleoprotein (NP); a bovine leukemia virus antigen, such as gp51,30 envelope; a Newcastle Disease Virus (NDV) antigen, such as HN or F; a feline leukemia virus antigen (FeLV), such as FeLV envelope protein; RAV- I env; matrix and/or 20 preplomer of infectious bronchitis virus; a Herpesvirus glycoprotein, such as a glycoprotein from feline herpesvirus, equine herpesvirus, bovine herpesvirus, pseudorabies virus, canine herpesvirus, HSV, Marek's Disease 25 Virus, or cytomegalovirus; a flavivirus antigen, such as a Japanese encephalitis virus (JEV) antigen, a Yellow Fever antigen, or a Dengue virus antigen; a malaria (Plasmodium) antigen, an immunodeficiency virus antigen, such as a feline immunodeficiency virus (FIV) antigen or a simian 30 immunodeficiency virus (SIV) antigen or a human immunodeficiency virus antigen (HIV); a parvovirus antigen, such as canine parvovirus; an equine influenza antigen; an poxvirus antigen, such as an ectromelia antigen, a canarypox 35 virus antigen or a fowlpoxvirus antigen; or an infectious bursal disease virus antigen, such as VP2, VP3, VP4; a

Hepatitis virus antigen, such as HBsAg; a Hantaan virus antigen; a C. tetani antigen; a mumps antigen; a pneumococcal antigen, such as PspA; a Borrelia antigen, such as OspA, OspB, OspC of Borrelia associated with Lyme disease such as Borrelia burgdorferi, Borrelia afzelli and Borrelia garinii; or a chicken pox (varicella zoster) antigen).  
5 Preferably, the epitope is derived from HIV or coxsackievirus. More preferably, the epitope is derived from HIV p24.

10 Of course, the foregoing lists are intended as exemplary, as the epitope of interest can be derived from any antigen of any veterinary or human pathogen; and, to obtain an epitope of interest, one can express an antigen of any veterinary or human pathogen (such that the invention  
15 encompasses the exogenous or foreign nucleotide sequence(s) of interest encoding at least one antigen).

Since the heterologous nucleic acid can be a growth factor or therapeutic gene, the inventive recombinants can be used in gene therapy. Gene therapy involves transferring  
20 genetic information; and, with respect to gene therapy and immunotherapy, reference is made to U.S. Pat. No. 5,252,479, which is incorporated herein by reference, together with the documents cited in it and on its face, and to WO 94/16716 and U.S. Pat. No. 5,833,975 each of which is also  
25 incorporated herein by reference, together with the documents cited therein. The growth factor or therapeutic gene, for example, can encode a disease-fighting protein, a molecule for treating cancer, a tumor suppressor, a cytokine, a tumor associated antigen, or interferon; and,  
30 the growth factor or therapeutic gene can, for example, be selected from the group consisting of a gene encoding alpha-globin, beta-globin, gamma-globin, granulocyte macrophage-colony stimulating factor, tumor necrosis factor, an interleukin, macrophage colony stimulating factor,  
35 granulocyte colony stimulating factor, erythropoietin, mast

cell growth factor, tumor suppressor p53, retinoblastoma, interferon, melanoma associated antigen or B7.

The invention further relates to an immunogenic, immunological or vaccine composition containing the 5 inventive vector and an acceptable carrier or diluent (e.g., veterinary acceptable or pharmaceutically acceptable). An immunological composition containing the vector (or an expression product thereof) elicits an immunological response--local or systemic. The response can, but need not 10 be protective. An immunogenic composition containing the inventive recombinants (or an expression product thereof) likewise elicits a local or systemic immunological response which can, but need not be, protective. A vaccine composition elicits a local or systemic protective response. 15 Accordingly, the terms "immunological composition" and "immunogenic composition" include a "vaccine composition" (as the two former terms can be protective compositions).

The invention therefore also provides a method of inducing an immunological response in a host vertebrate comprising administering to the host an immunogenic, immunological or vaccine composition comprising the inventive recombinant virus or vector and an acceptable carrier or diluent. For purposes of this specification, "animal" includes all vertebrate species, except humans; and 25 "vertebrate" includes all vertebrates, including animals (as "animal" is used herein) and humans. And, of course, a subset of "animal" is "mammal", which for purposes of this specification includes all mammals, except humans. Thus, a "subject" is a "host vertebrate, preferably a mammal, and 30 more preferably a human. Mammals include but are not limited to humans, farm animals (food producing animals such as pigs, goats, sheep, lambs, cows, and work producing animals such as horses, donkeys, asses, camels, llamas, and the like that may, in some cultures, also be considered

useful for food), sport animals (e.g., horses, dogs and the like), and pets (e.g., companion animals such as cats, dogs and the like).

The heterologous nucleic acid can encode any of the 5 aforementioned epitopes of interest, as listed above. In this regard, with respect to *Borrelia* DNA, reference is made to U.S. Pat. No. 5,523,089, WO93/08306, PCT/US92/08697, Molecular Microbiology (1989), 3(4): 479-486, and PCT publications WO 93/04175, and WO 96/06165, incorporated 10 herein by reference. With respect to pneumococcal epitopes of interest, reference is made to Briles et al. WO 92/14488, incorporated herein by reference. With respect to tumor viruses reference is made to Molecular Biology of Tumor Viruses, RNA TUMOR VIRUSES (Second Edition, Edited by Weiss 15 et al., Cold Spring Harbor Laboratory 1982) (e.g., page 44 et seq.--Taxonomy of Retroviruses), incorporated herein by reference. Further, with respect to nucleic acid encoding epitopes of interest, attention is directed to documents cited herein, see, for instance documents cited *supra* and 20 documents cited *infra*, for instance: U.S. Pat. Nos. 5,174,993 and 5,505,941 (e.g., recombinant avipox virus, vaccinia virus; rabies glycoprotein (G), gene, turkey influenza hemagglutinin gene, gp51, 30 envelope gene of bovine leukemia virus, Newcastle Disease Virus (NDV) 25 antigen, FeIV envelope gene, RAV- I env gene, NP (nucleoprotein gene of Chicken/Pennsylvania/1/83 influenza virus), matrix and preplomer gene of infectious bronchitis virus; HSV gD), U.S. Pat. No. 5,338,683 (e.g., recombinant vaccinia virus, avipox virus; DNA encoding Herpesvirus 30 glycoproteins, *inter alia*), U.S. Pat. No. 5,494,807 (e.g., recombinant vaccinia, avipox; exogenous DNA encoding antigens from rabies, Hepatitis B, JEV, YF, Dengue, measles, pseudorabies, Epstein-Barr, HSV, HIV, SIV, EHV, BHV, HCMV, canine parvovirus, equine influenza, FeLV, FHV, Hantaan, C. 35 tetani, avian influenza, mumps, NDV, *inter alia*), U.S. Pat.

No. 5,503,834 (e.g., recombinant vaccinia, avipox, Morbillivirus, e.g., measles F, hemagglutinin, inter alia), U.S. Pat. No. 4,722,848 (e.g., recombinant vaccinia virus; HSV tk, HSV glycoproteins, e.g., gB, gD, influenza HA, 5 Hepatitis B, e.g., HBsAg, inter alia), U.K. Patent GB 2 269 820 B and U.S. Pat. No. 5,514,375 (recombinant poxvirus; flavivirus structural proteins); WO 92/22641 and U.S. Pat. No. 5,863,542 (e.g., recombinant poxvirus; immunodeficiency virus, HTLV, inter alia), WO 93/03145, and U.S. Pat. Nos. 10 5,658,572 and 5,641,490 (e.g., recombinant poxvirus; IBDV, inter alia), WO 94/16716 and U.S. Pat. No. 5,833,975 (e.g., recombinant poxvirus; cytokine and/or tumor associated antigens, inter alia), U.S. Pat. No. 5,529,780 and allowed U.S. Pat. No. 5,688,920 (canine herpesvirus), WO 96/3941 and 15 PCT/US94/06652 (Plasmodium antigens such as from each stage of the Plasmodium life cycle); see also U.S. Patent Nos. 4,603,112, 4,769,330, 5,174,993, 5,505,941, 5,338,683, 5,494,807, 4,722,848, 5,942,335, 5,364,773, 5,762,938, 5,770,212, 5,942,235, 5,756,103, 5,766,599, 6,004,777, 20 5,990,091, 6,033,904, 5,869,312, 5,382,425, WO 94/16716, WO 96/39491, and documents cited therein for epitopes of interest and antigens that are desirable to express and exogenous nucleic acid molecules useful in the practice of the invention. The inventive vector preferably encodes at 25 least regions of a peptide of interest that generate an antibody or T cell response; and, advantageously, an epitope comprises a peptide fragment of about 10-17 amino acids in length.

As to antigens for use in vaccine or immunological 30 compositions, reference is made to the documents and discussion set forth in the documents cited herein; see also Stedman's Medical Dictionary (24th edition, 1982) for a definition of vaccine and for a list of antigens used in vaccine formulations. Such antigens or epitopes of interest 35 from those antigens can be used in the invention, as either

an expression product of an inventive recombinant virus or vector, or in a multivalent composition containing an inventive recombinant virus or vector or an expression product therefrom.

5        As to epitopes of interest, one skilled in the art can determine an epitope or immunodominant region of a peptide or polypeptide and ergo the coding nucleic acid therefor from the knowledge of the amino acid and corresponding nucleic acid sequences of the peptide or polypeptide, as  
10      well as from the nature of particular amino acids (e.g., size, charge, etc.) and the codon dictionary, without undue experimentation.

15      A general method for determining which portions of a protein to use in an immunological composition focuses on the size and sequence of the antigen of interest. "In general, large proteins, because they have more potential determinants are better antigens than small ones. The more foreign an antigen, that is the less similar to self configurations which induce tolerance, the more effective it  
20      is in provoking an immune response." Ivan Roitt, Essential Immunology, 1988.

25      As to size: the skilled artisan can maximize the size of the protein encoded by the DNA sequence to be inserted into the viral vector (keeping in mind the packaging limitations of the vector). To minimize the nucleic acid inserted into the vector while maximizing the size of the protein expressed, the nucleic acid sequence inserted can exclude intron nucleotide sequences (regions of a gene which are transcribed but which are subsequently excised from the  
30      primary RNA transcript).

35      At a minimum, the heterologous nucleic acid can code for a peptide at least 8 or 9 amino acids long. This is the minimum length that a peptide needs to be in order to stimulate a CD8+T cell response (which recognizes virus infected cells or cancerous cells). This nucleic acid may

be contain coding sequences derived from two different sources, (e.g., coding for a fragment of a protein of interest and also a T-cell epitope derived from another source) include coding sequences which encode a minimum peptide length of 13 to 25 amino acids is useful to stimulate a CD4+T cell response (which recognizes special antigen presenting cells that have engulfed the pathogen), see Kendrew, *supra*. However, as these are minimum lengths, these peptides are likely to generate an immunological response, such as an antibody or T cell response, but, for a protective response (as from a vaccine composition), a longer peptide may be preferred.

With respect to the sequence of the heterologous nucleic acid, it preferably encodes at least regions or a fragment of a peptide that generates an antibody response or a T cell response. One method to determine T and B cell epitopes involves epitope mapping. The protein of interest is synthesized in short overlapping peptides (PEPSCAN), or the protein of interest "is fragmented into overlapping peptides with proteolytic enzymes. The individual peptides are then tested for their ability to bind to an antibody elicited by the native protein or to induce T cell or B cell activation. This approach has been particularly useful in mapping T-cell epitopes since the T cell recognizes short linear peptides complexed with MHC molecules. The method may be less-effective for determining B-cell epitopes" since B cell epitopes are often not linear amino acid sequence but rather result from the tertiary structure of the folded three dimensional protein. Janis Kuby, *Immunology*, (1992) pp. 79-80.

Another method for determining an epitope of interest is to choose the regions of the protein that are hydrophilic. Hydrophilic residues are often on the surface of the protein and are therefore often the regions of the protein that are accessible to the antibody. Janis Kuby,

Immunology, (1992) p. 81. Yet another method for determining an epitope of interest is to perform an X-ray crystallographic analysis of the antigen (full length)-antibody complex. Janis Kuby, Immunology, (1992) p.

5 80. Still another method for choosing an epitope of interest which can generate a T cell response is to identify from the protein sequence potential HLA anchor binding motifs which are peptide sequences which are known to be likely to bind to the MHC molecule.

10 The peptide that is a putative epitope of interest, to generate a T cell response, should be presented in a MHC complex. The peptide preferably contains appropriate anchor motifs for binding to the MHC molecules, and should bind with high enough affinity to generate an immune response.

15 Factors which can be considered are: the HLA type of the patient (vertebrate, animal or human) expected to be immunized, the sequence of the protein, the presence of appropriate anchor motifs and the occurrence of the peptide sequence in other vital cells.

20 An immune response is generated, in general, as follows: T cells recognize proteins only when the protein has been cleaved into smaller peptides and is presented in a complex called the "major histocompatibility complex MHC" located on another cell's surface. There are two classes of 25 MHC complexes--class I and class II, and each class is made up of many different alleles. Different patients have different types of MHC complex alleles; they are said to have a 'different HLA type.'

Class I MHC complexes are found on virtually every cell 30 and present peptides from proteins produced inside the cell. Thus, Class I MHC complexes are useful for killing cells which when infected by viruses or which have become cancerous and as the result of expression of an oncogene. T cells which have a protein called CD8 on their surface, bind

specifically to the MHC class I/peptide complexes via the T cell receptor. This leads to cytolytic effector activities.

Class II MHC complexes are found only on antigen-presenting cells and are used to present peptides from circulating pathogens which have been endocytosed by the antigenpresenting cells. T cells which have a protein called CD4 bind to the MHC class II/peptide complexes via the T cell receptor. This leads to the synthesis of specific cytokines that stimulate an immune response.

Some guidelines in determining whether a protein is an epitope of interest which will stimulate a T cell response, include: Peptide length--the peptide should be at least 8 or 9 amino acids long to fit into the MHC class I complex and at least 13-25 amino acids long to fit into a class II MHC complex. This length is a minimum for the peptide to bind to the MHC complex. It is preferred for the peptides to be longer than these lengths because cells may cut the expressed peptides. The peptide should contain an appropriate anchor motif which will enable it to bind to the various class I or class II molecules with high enough specificity to generate an immune response (See Bocchia et al., *Blood* 85: 2680-2684; Englehard, V.H., *Ann. Rev. Immunol.* 12: 181 (1994)). This can be done, without undue experimentation, by comparing the sequence of the protein of interest with published structures of peptides associated with the MHC molecules. Protein epitopes recognized by T cell receptors are peptides generated by enzymatic degradation of the protein molecule and are presented on the cell surface in association with class I or class II MHC molecules. Also, the skilled artisan can understand, without undue experimentation, an immunogenic B or T cell response.

Further, the skilled artisan can ascertain an epitope of interest by comparing the protein sequence with sequences listed in the protein data base. Even further, another

method is simply to generate or express portions of a protein of interest, generate monoclonal antibodies to those portions of the protein of interest, and then ascertain whether those antibodies inhibit growth in vitro of the 5 pathogen from which the protein was derived. The skilled artisan can use the other guidelines set forth in this disclosure and in the art for generating or expressing portions of a protein of interest for analysis as to whether antibodies thereto inhibit growth in vitro. For 10 example, the skilled artisan can generate portions of a protein of interest by: selecting 8 to 9 or 13 to 25 amino acid length portions of the protein, selecting hydrophilic regions, selecting portions shown to bind from X-ray data of the antigen (full length)-antibody complex, selecting 15 regions which differ in sequence from other proteins, selecting potential HLA anchor binding motifs, or any combination of these methods or other methods known in the art.

Epitopes recognized by antibodies are expressed on the 20 surface of a protein. To determine the regions of a protein most likely to stimulate an antibody response one skilled in the art can preferably perform an epitope map, using the general methods described above, or other mapping methods known in the art.

As can be seen from the foregoing, without undue experimentation, from this disclosure and the knowledge in the art, the skilled artisan can ascertain the amino acid and corresponding DNA sequence of an epitope of interest for obtaining a T cell, B cell and/or antibody response. In 25 addition, reference is made to Gefter et al., U.S. Pat. No. 5,019,384, issued May 28, 1991, and the documents it cites, incorporated herein by reference (Note especially the "Relevant Literature" section of this patent, and column 13 of this patent which discloses that: "A large number of 30 epitopes have been defined for a wide variety of organisms

of interest. Of particular interest are those epitopes to which neutralizing antibodies are directed. Disclosures of such epitopes are in many of the references cited in the Relevant Literature section.”)

5 With respect to expression of a biological response modulator, reference is made to Wohlstadter, “Selection Methods,” WO 93/19170, published Sep. 30, 1993, and the documents cited therein, incorporated herein by reference. For instance, a biological response modulator modulates  
10 biological activity; for instance, a biological response modulator is a modulatory component such as a high molecular weight protein associated with non-NMDA excitatory amino acid receptors and which allosterically regulates affinity of AMPA binding (See Kendrew, *supra*). The recombinant of  
15 the present invention can express such a high molecular weight protein.

More generally, nature has provided a number of precedents of biological response modulators. Modulation of activity may be carried out through mechanisms as  
20 complicated and intricate as allosteric induced quaternary change to simple presence/absence, e.g., expression/degradation, systems. Indeed, the repression/activation of expression of many biological molecules is itself mediated by molecules whose activities  
25 are capable of being modulated through a variety of mechanisms. Table 2 of Neidhardt et al *Physiology of the Bacterial Cell* (Sinauer Associates Inc., Publishers, 1990), at page 73, lists chemical modifications to bacterial proteins. As is noted in that table, some modifications are  
30 involved in proper assembly and other modifications are not, but in either case such modifications are capable of causing modulation of function. From that table, analogous chemical modulations for proteins of other cells can be determined, without undue experimentation. In some instances modulation  
35 of biological functions may be mediated simply through the

proper/improper localization of a molecule. Molecules may function to provide a growth advantage or disadvantage only if they are targeted to a particular location. For example, a molecule may be typically not taken up or used by a cell, 5 as a function of that molecule being first degraded by the cell by secretion of an enzyme for that degradation. Thus, production of the enzyme by a recombinant can regulate use or uptake of the molecule by a cell. Likewise, the recombinant can express a molecule that binds to the enzyme 10 necessary for uptake or use of a molecule, thereby similarly regulating its uptake or use. Localization targeting of proteins carried out through cleavage of signal peptides another type of modulation or regulation. In this case, a specific endoprotease catalytic activity can be expressed by 15 the recombinant. Other examples of mechanisms through which modulation of function may occur are RNA virus poly-proteins, allosteric effects, and general covalent and non-covalent steric hindrance.

HIV is a well studied example of an RNA virus that 20 expresses non-functional polyprotein constructs. In HIV "the gag, pol, and env poly-proteins are processed to yield, respectively, the viral structural proteins p 17, p24, and p15--reverse transcriptase and integrase--and the two envelope proteins gp41 and gp120" (Kohl et al., *PNAS U.S.A.* 25 85: 4686-90 (1988)). The proper cleavage of the poly-proteins is crucial for replication of the virus, and virions carrying inactive mutant HIV protease are non-infectious (Id.). This is another example of the fusion of proteins down-modulating their activity. Thus, it is 30 possible to construct recombinant viruses that express molecules which interfere with endoproteases, or which provide endoproteases, for inhibiting or enhancing the natural expression of certain proteins (by interfering with or enhancing cleavage). The functional usefulness of 35 enzymes may also be modulated by altering their capability

of catalyzing a reaction. Illustrative examples of modulated molecules are zymogens, formation/disassociation of multi-subunit functional complexes, RNA virus poly-protein chains, allosteric interactions, general steric hindrance (covalent and non-covalent) and a variety of chemical modifications such as phosphorylation, methylation, acetylation, adenylation, and uridylation (see Table I of Neidhardt, *supra*, at page 315 and Table 2 at page 73).

5 Zymogens are examples of naturally occurring protein fusions which cause modulation of enzymatic activity.

Zymogens are one class of proteins which are converted into their active state through limited proteolysis. See Table 3 of Reich, *Proteases and Biological Control*, Vol. 2, (1975) at page 54). Nature has developed a mechanism of

10 down-modulating the activity of certain enzymes, such as trypsin, by expressing these enzymes with additional "leader" peptide sequences at their amino termini. With the extra peptide sequence the enzyme is in the inactive zymogen state. Upon cleavage of this sequence the zymogen is

15 converted to its enzymatically active state. The overall reaction rates of the zymogen are "about  $10.5 - 10^6$  times lower than those of the corresponding enzyme" (See Table 3 of Reich, *supra* at page 54). It is therefore

20 possible to down-modulate the function of certain enzymes simply by the addition of a peptide sequence to one of its termini. For example, with knowledge of this property, a recombinant can express peptide sequences containing additional amino acids at one or both termini. The

25 formation or disassociation of multi-subunit enzymes is another way through which modulation may occur. Different mechanisms may be responsible for the modulation of activity upon formation or disassociation of multi-subunit enzymes.

30 Therefore, sterically hindering the proper specific subunit interactions will down-modulate the catalytic activity. And accordingly, the recombinant of the invention can express a

molecule which sterically hinders a naturally occurring enzyme or enzyme complex, so as to modulate biological functions.

Certain enzyme inhibitors afford good examples of functional down-modulation through covalent steric hindrance or modification. Suicide substrates which irreversibly bind to the active site of an enzyme at a catalytically important amino acid in the active site are examples of covalent modifications which sterically block the enzymatic active site. An example of a suicide substrate is TPCK for chymotrypsin (Fritsch, Enzyme Structure and Mechanism, 2d ed; Freeman & Co. Publishers, 1984)). This type of modulation is possible by the recombinant expressing a suitable suicide substrate, to thereby modulate biological responses (e.g., by limiting enzyme activity). There are also examples of non-covalent steric hindrance including many repressor molecules. The recombinant can express repressor molecules which are capable of sterically hindering and thus down-modulating the function of a DNA sequence-by preventing particular DNA-RNA polymerase interactions.

Allosteric effects are another way through which modulation is carried out in some biological systems. Aspartate transcarbamoylase is a well characterized allosteric enzyme. Interacting with the catalytic subunits are regulatory domains. Upon binding to CTP or UTP the regulatory subunits are capable of inducing a quaternary structural change in the holoenzyme causing down-modulation of catalytic activity. In contrast, binding of ATP to the regulatory subunits is capable of causing up-modulation of catalytic activity (Fritsch, *supra*). Using methods of the invention, molecules can be expressed which are capable of binding and causing modulatory quaternary or tertiary changes.

In addition, a variety of chemical modifications (e.g., phosphorylation, methylation, acetylation, adenylation, and uridylation) may be carried out so as to modulate function. It is known that modifications such as these play important roles in the regulation of many important cellular components. Table 2 of Neidhardt, *supra*, at page 73, lists different bacterial enzymes which undergo such modifications. From that list, one skilled in the art can ascertain other enzymes of other systems which undergo the same or similar modifications, without undue experimentation. In addition, many proteins which are implicated in human disease also undergo such chemical modifications. For example, many oncogenes have been found to be modified by phosphorylation or to modify other proteins through phosphorylation or dephosphorylation. Therefore, the ability afforded by the invention to express modulators which can modify or alter function (e.g., phosphorylation) is of importance.

From the foregoing, the skilled artisan can use the CB4 vector to express a biological response modulator, without any undue experimentation.

With respect to expression of fusion proteins by inventive recombinants, reference is made to Sambrook, Fritsch, Maniatis, *Molecular Cloning, A LABORATORY MANUAL* (2nd Edition, Cold Spring Harbor Laboratory Press, 1989) (especially Volume 3), and Kendrew, *supra*, incorporated herein by reference. The teachings of Sambrook et al., can be suitably modified, without undue experimentation, from this disclosure, for the skilled artisan to generate recombinants or vectors expressing fusion proteins.

With regard to gene therapy and immunotherapy, reference is made to U.S. Pat. Nos. 4,690,915 and 5,252,479, which are incorporated herein by reference, together with the documents cited therein it and on their face, and to WO 94/16716 and U.S. Pat. No. 5,833,975 each of which is also

incorporated herein by reference, together with the documents cited therein.

A growth factor can be defined as multifunctional, locally acting intercellular signaling peptides which 5 control both ontogeny and maintenance of tissue and function (see Kendrew, *supra*, especially at page 455 et seq.). The growth factor or therapeutic gene, for example, can encode a disease- fighting protein, a molecule for treating cancer, a tumor suppressor, a cytokine, a tumor associated antigen, or 10 interferon; and, the growth factor or therapeutic gene can, for example, be selected from the group consisting of a gene encoding alpha-globin, beta-globin, gamma-globin, granulocyte macrophage-colony stimulating factor, tumor necrosis factor, an interleukin (e.g., an interleukin 15 selected from interleukins 1 to 14, or 1 to 11, or any combination thereof), macrophage colony stimulating factor, granulocyte colony stimulating factor, erythropoietin, mast cell growth factor, tumor suppressor p53, retinoblastoma, interferon, melanoma associated antigen or B7. U.S. Pat. No. 20 5,252,479 provides a list of proteins which can be expressed in an adenovirus system for gene therapy, and the skilled artisan is directed to that disclosure. WO 94/16716 and allowed U.S. Pat. No. 5,833,975, provide genes for cytokines and tumor associated antigens and immunotherapy methods, 25 including *ex vivo* methods, and the skilled artisan is directed to those disclosures.

Thus, one skilled in the art can create a viral vector which expresses a growth factor or therapeutic gene and use the viral vector, from this disclosure and the knowledge in 30 the art, without undue experimentation. Moreover, from the foregoing and the knowledge in the art, no undue experimentation is required for the skilled artisan to construct an viral vector which expresses an epitope of interest, a biological response modulator, a growth factor, 35 a recognition sequence, a therapeutic gene, or a fusion

protein; or for the skilled artisan to use such a viral vector.

As the viral vector of the present invention can be used for expression of gene products *in vitro*, techniques for protein purification can be employed in the practice of the invention, and such techniques, in general, include:

5 Briefly, the cells are disrupted and the protein of interest is released into an aqueous "extract". There are many methods of cellular disintegration, which vary from

10 relatively gentle to vigorous conditions, and the choice of one method over the other is dependent upon the source material. Animal tissues vary from the very easily broken erythrocytes to tough collagenous material such as found in blood vessels and other smooth-muscle containing tissue.

15 Bacteria vary from fairly fragile organisms that can be broken up by digestive enzymes or osmotic shock to more resilient species with thick cell walls, needing vigorous mechanical treatment for disintegration. Gentle techniques include cell lysis, enzymatic digestion, chemical

20 solubilization, hand homogenization and mincing (or grinding); moderate techniques of cell disintegration include blade homogenization and grinding with abrasive materials, i.e., sand or alumina; and vigorous techniques include french press, ultrasonication, bead mill or

25 Manton-Gaulin homogenization. Each of the aforementioned techniques are art-recognized, and it is well within the scope of knowledge of the skilled artisan to determine the appropriate method of cell disintegration based upon the starting material, and the teachings herein and in the art.

30 Following cell disintegration, the extract is prepared by centrifuging off insoluble material. At this stage, one may proceed with the purification method, as an extract containing as much of the protein of interest as possible has been prepared, and, where appropriate, particulate and

35 most nonprotein materials have been removed. Standard

techniques of protein purification may be employed to further purify the protein of interest, including:  
precipitation by taking advantage of the solubility of the protein of interest at varying salt concentrations,  
5 precipitation with organic solvents, polymers and other materials, affinity precipitation and selective denaturation; column chromatography, including high performance liquid chromatography (HPLC, ion-exchange, affinity, immunoaffinity or dye-ligand chromatography;  
10 immunoprecipitation and the use of gel filtration, electrophoretic methods, ultrafiltration and isoelectric focusing. Each of the above-identified methods are well within the knowledge of the skilled artisan, and no undue experimentation is required to purify the proteins or  
15 epitopes of interest from expression of a recombinant or vector of the invention, using the standard methodologies outlined herein, and in the literature, as well as the teachings in the Examples below. Thus, the expression product generated by vectors or recombinants in this  
20 invention optionally can also be isolated and/or purified from infected or transfected cells; for instance, to prepare compositions for administration to patients. However, in certain instances, it may be advantageous to not isolate and/or purify an expression product from a cell; for  
25 instance, when the cell or portions thereof enhance the immunogenic effect.

As the expression products can provide an antigenic, immunological, or protective (vaccine) response, the invention further relates to products therefrom; namely,  
30 antibodies and uses thereof. More in particular, the expression products can elicit antibodies by administration of those products or of recombinants or vectors expressing the products. The antibodies can be monoclonal antibodies; and, the antibodies or expression products can be used in  
35 kits, assays, tests, and the like involving binding, so that

the invention relates to these uses too. Additionally, since the recombinants or vectors of the invention can be used to replicate nucleic acid, the invention relates to the viral vectors and methods for replicating nucleic acid by 5 infecting or transfecting cells with the recombinant and harvesting nucleic acid therefrom. The resultant nucleic acid can be used as probes or primers or for amplification.

The administration procedure for the viral vector, or expression products thereof, compositions of the invention 10 such as immunological, antigenic or vaccine compositions or therapeutic compositions, can be via a parenteral route (intradermal, intramuscular or subcutaneous). Such an administration enables a systemic immune response. The administration can be via a mucosal route (e.g., oral, 15 nasal, genital, etc.). Such administration generates a local immune response. More generally, the immunological or vaccine compositions or therapeutic compositions of the present invention can be prepared in accordance with standard techniques well known to those skilled in the 20 pharmaceutical, medical or veterinary arts. Such compositions can be administered in dosages and by techniques well known to those skilled in the medical or veterinary arts taking into consideration such factors as the breed of species, age, sex, weight, and condition of the 25 particular patient, and the route of administration. The compositions can be administered alone, or can be co-administered or sequentially administered with other compositions of the invention or with other immunological, antigenic or vaccine or therapeutic compositions. Such 30 other compositions can include purified native antigens or epitopes or antigens or epitopes from expression by an inventive recombinant or vector or another vector system; and are administered taking into account the aforementioned factors.

Examples of compositions of the invention include liquid preparations for orifice (e.g., oral, nasal, anal, genital, such as vaginal, etc.) administration such as suspensions, syrups or elixirs; and, preparations for 5 parenteral, subcutaneous, intradermal, intramuscular or intravenous administration (e.g., injectable administration) such as sterile suspensions or emulsions. In such compositions the viral vector may be in admixture with a suitable carrier, diluent, or excipient such as sterile 10 water, physiological saline, glucose or the like. The compositions can also be lyophilized. The compositions can contain auxiliary substances such as wetting or emulsifying agents, pH buffering agents, gelling or viscosity enhancing additives, preservatives, flavoring agents, colors, and the 15 like, depending upon the route of administration and the preparation desired. The standard texts incorporated herein by reference, may be consulted to prepare suitable preparations, without undue experimentation.

Compositions of the invention are conveniently provided 20 as liquid preparations (e.g., isotonic aqueous solutions, suspensions, emulsions or viscous compositions) which may be buffered to a selected pH. If digestive tract absorption is preferred, compositions of the invention can be in the "solid" form of pills, tablets, capsules, caplets and the 25 like, including "solid" preparations which are time-released or which have a liquid filling (e.g., gelatin covered liquid, whereby the gelatin is dissolved in the stomach for delivery to the gut). If nasal or respiratory (mucosal) administration is desired, compositions may be in a form and 30 dispensed by a squeeze spray dispenser, pump dispenser or aerosol dispenser. Aerosols are usually under pressure by means of a hydrocarbon. Pump dispensers can preferably dispense a metered dose or a dose having a particular particle size. Compositions of the invention can contain 35 pharmaceutically acceptable flavors and/or colors for

rendering them more appealing, especially if they are administered orally. The viscous compositions may be in the form of gels, lotions, ointments, creams and the like and will typically contain a sufficient amount of a thickening agent so that the viscosity is from about 2500 to 6500 cps, although more viscous compositions, even up to 10,000 cps may be employed. Viscous compositions have a viscosity preferably of 2500 to 5000 cps, since above that range they become more difficult to administer. However, above that range, the compositions can approach solid or gelatin forms which are then easily administered as a swallowed pill for oral ingestion.

Liquid preparations are normally easier to prepare than gels, other viscous compositions, and solid compositions. Additionally, liquid compositions are somewhat more convenient to administer, especially by injection or orally, to animals, children, particularly small children, and others who may have difficulty swallowing a pill, tablet, capsule or the like, or in multi-dose situations. Viscous compositions, on the other hand, can be formulated within the appropriate viscosity range to provide longer contact periods with mucosa, such as the lining of the stomach or nasal mucosa.

Obviously, the choice of suitable carriers and other additives will depend on the exact route of administration and the nature of the particular dosage form, such as liquid dosage form (e.g., whether the composition is to be formulated into a solution, a suspension, gel or another liquid form), or solid dosage form (e.g., whether the composition is to be formulated into a pill, tablet, capsule, caplet, time release form or liquid-filled form).

Solutions, suspensions and gels, normally contain a major amount of water (preferably purified water) in addition to the immunogen, and optional adjuvant. Minor amounts of other ingredients such as pH adjusters (e.g., a

base such as NaOH), emulsifiers or dispersing agents, buffering agents, preservatives, wetting agents, jelling agents, (e.g., methylcellulose), colors and/or flavors may also be present. The compositions can be isotonic, i.e., it 5 can have the same osmotic pressure as blood and lacrimal fluid.

The desired isotonicity of the compositions of this invention may be accomplished using sodium chloride, or other pharmaceutically acceptable agents such as dextrose, 10 boric acid, sodium tartrate, propylene glycol or other inorganic or organic solutes. Sodium chloride is preferred particularly for buffers containing sodium ions.

Viscosity of the compositions may be maintained at the selected level using a pharmaceutically acceptable 15 thickening agent. Methylcellulose is preferred because it is readily and economically available and is easy to work with. Other suitable thickening agents include, for example, xanthan gum, carboxymethyl cellulose, hydroxypropyl cellulose, carbomer, and the like. The preferred 20 concentration of the thickener will depend upon the agent selected. The important point is to use an amount that will achieve the selected viscosity. Viscous compositions are normally prepared from solutions by the addition of such thickening agents.

A pharmaceutically acceptable preservative can be employed to increase the shelf-life of the compositions of the present invention. Benzyl alcohol may be suitable, although a variety of preservatives including, for example, parabens, thimerosal, chlorobutanol, or benzalkonium 30 chloride may also be employed. A suitable concentration of the preservative will be from 0.02% to 2% based on the total weight although there may be appreciable variation depending upon the agent selected.

Those skilled in the art will recognize that the 35 components of the compositions must be selected to be

chemically inert with respect to the viral vector and/or immunogen or epitope or fragment expressed therefrom and optional additional adjuvant. This will present no problem to those skilled in chemical and pharmaceutical principles, 5 or problems can be readily avoided by reference to standard texts or by simple experiments (not involving undue experimentation), from this disclosure and the documents cited herein.

The immunologically effective compositions of this 10 invention are prepared by mixing the ingredients following generally accepted procedures. For example the selected components may be simply mixed in a blender, or other standard device to produce a concentrated mixture which may then be adjusted to the final concentration and viscosity by 15 the addition of water or thickening agent and possibly a buffer to control pH or an additional solute to control tonicity. Generally the pH may be from about 3 to 7.5. Compositions can be administered in dosages and by 20 techniques well known to those skilled in the medical and veterinary arts taking into consideration such factors as the age, sex, weight, and condition of the particular patient or animal, and the composition form used for administration (e.g., solid vs. liquid). Dosages for humans or other mammals can be determined without undue 25 experimentation by the skilled artisan, from this disclosure, the documents cited herein, the Examples below (e.g., from the Examples involving mice and from the applications cited herein).

Suitable regimes for initial administration and booster 30 doses or for sequential administrations also are variable, may include an initial administration followed by subsequent administrations; but nonetheless, may be ascertained by the skilled artisan, from this disclosure, the documents cited herein, including applications cited herein, and the 35 Examples below. The compositions can be administered alone,

or can be co-administered or sequentially administered with other compositions of the invention or with other prophylactic or therapeutic compositions. A subject can be treated or administered in accordance with the present

5 invention by administering the vector suspended in or admixed with a physiologically suitable excipient. The vector or an immunogen or epitope or fragment thereof, can be administered in any suitable amount to achieve expression at a suitable dosage level.

10 Antigenic, immunological or vaccine compositions typically can contain an adjuvant and an amount of the recombinant or vector or expression product to elicit the desired response. In human applications, alum (aluminum phosphate and/or aluminum hydroxide) is a typical adjuvant.

15 Saponin and its purified component Quil A, Freund's complete adjuvant and other adjuvants used in research and veterinary applications have toxicities which limit their potential use in human vaccines; see also PCT/US/98/23472 with respect to adjuvants that can be used in the practice of this

20 invention. Chemically defined preparations such as muramyl dipeptide, monophosphoryl lipid A, phospholipid conjugates such as those described by Goodman-Snitkoff et al., *J. Immunol.* 147: 410-415 (1991) and incorporated by reference herein, encapsulation of the protein within a proteoliposome

25 as described by Miller et al., *J. Exp. Med.* 176: 1739-1744 (1992) and incorporated by reference herein, and encapsulation of the protein in lipid vesicles such as Novasome™ lipid vesicles (Micro Vescular Systems, Inc., Nashua, N.H.) can also be used.

30 The composition may be packaged in a single dosage form for immunization by parenteral (i.e., intramuscular, intradermal or subcutaneous) administration or orifice administration, e.g., perlingual (i.e., oral), intragastric, mucosal including intraoral, intraanal, intravaginal, and

35 the like administration. And again, the effective dosage

and route of administration are determined by the nature of the composition, by the nature of the expression product, by expression level if the vector is directly used, and by known factors, such as breed or species, age, sex, weight, 5 condition and nature of host, as well as LD<sub>50</sub> and other screening procedures which are known and do not require undue experimentation. Dosages of expressed product (e.g., HIV p24) can range from a few to a few hundred micrograms (e.g., 5 to 500 µg). The viral vector can be administered 10 in any suitable amount to achieve expression at these dosage levels. The viral vector can be administered in an amount of about 10<sup>3.5</sup> pfu; thus, the viral vector is preferably administered in at least this amount; more preferably about 10<sup>4</sup> pfu to about 10<sup>6</sup> pfu; however higher dosages such as 15 about 10<sup>4</sup> pfu to about 10<sup>10</sup> pfu (e.g., about 10<sup>5</sup> pfu to about 10<sup>9</sup> pfu) for instance about 10<sup>6</sup> pfu to about 10<sup>8</sup> pfu can be employed. Reference is also made to the Examples herein, as well as documents cited herein. Other suitable carriers or diluents can be water or a buffered saline, with or without 20 a preservative. The viral vector or the expression product thereof may be lyophilized for resuspension at the time of administration or can be in solution. Typically, an adjuvant is commonly used as 0.001 to 50 wt% solution in phosphate buffered saline, and the immunogen is present on 25 the order of micrograms to milligrams, such as about 0.0001 to about 5 wt%, preferably about 0.0001 to about 1 wt%, most preferably about 0.0001 to about 0.05 wt% (see, e.g., in applications and references cited herein). Typically, however, the immunogen is present in an amount on the order 30 of micrograms to milligrams, or, about 0.001 to about 20 wt%, preferably about 0.01 to about 10 wt%, and most preferably about 0.05 to about 5 wt%. Of course, for any composition to be administered to an animal or human, including the components thereof, and for any particular 35 method of administration, it is preferred to determine

therefor: toxicity, such as by determining the lethal dose (LD) and LD50 in a suitable animal model e.g., rodent such as mouse; and, the dosage of the composition(s), concentration of components therein and timing of 5 administering the composition(s), which elicit a suitable immunological response, such as by titrations of sera and analysis thereof for antibodies or immunogens (e.g., by ELISA analysis). Such determinations do not require undue experimentation from the knowledge of the skilled artisan, 10 this disclosure and the documents cited herein. And, the time for sequential administrations can be ascertained without undue experimentation. Standard texts, such as Remington: The Science and Practice of Pharmacy, 17th edition, Mack Publishing Company, incorporated herein by 15 reference, can be consulted to prepare suitable compositions and formulations for administration, without undue experimentation. Suitable dosages can also be based upon the text and documents cited herein. A determination of the appropriate dosages is thus within the skill of one in the 20 art given the parameters herein.

The carrier may also be a polymeric delayed release system. Synthetic polymers are particularly useful in the formulation of a composition having controlled release. An early example of this was the polymerization of methyl 25 methacrylate into spheres having diameters less than one, micron to form so-called nano particles, reported by Kreuter, J., Microcapsules and Nanoparticles in Medicine and Pharmacology, M. Donbrow (Ed). CRC Press, p. 125-148.

Microencapsulation has been applied to the injection of 30 micro encapsulated pharmaceuticals to give a controlled release. A number of factors contribute to the selection of a particular polymer for microencapsulation. The reproducibility of polymer synthesis and the microencapsulation process, the cost of the 35 microencapsulation materials and process, the toxicological

profile, the requirements for variable release kinetics and the physicochemical compatibility of the polymer and the antigens are all factors that must be considered. Examples of useful polymers are polycarbonates, polyesters, 5 polyurethanes, polyorthoesters and polyamides, particularly those that are biodegradable.

A frequent choice of a carrier for pharmaceuticals and more recently for antigens is poly (d, 1-lactide-co-glycolide) (PLGA). This is a biodegradable 10 polyester that has a long history of medical use in erodible sutures, bone plates and other temporary prostheses where it has not exhibited any toxicity. A wide variety of pharmaceuticals including peptides and antigens have been formulated into PLGA microcapsules. A body of data has 15 accumulated on the adaption of PLGA for the controlled release of antigen, for example, as reviewed by Eldridge, J. H., et al. Current Topics in Microbiology and Immunology 1989, 146:59-66. The entrapment of antigens in PLGA microspheres of 1 to 10 microns in diameter has been shown 20 to have a remarkable adjuvant effect when administered orally. The PLGA microencapsulation process uses a phase separation of a water-in-oil emulsion. The compound of interest is prepared as an aqueous solution and the PLGA is dissolved in a suitable organic solvents such as methylene 25 chloride and ethyl acetate. These two immiscible solutions are co-emulsified by high-speed stirring. A nonsolvent for the polymer is then added, causing precipitation of the polymer around the aqueous droplets to form embryonic microcapsules. The microcapsules are collected, and 30 stabilized with one of an assortment of agents (polyvinyl alcohol (PVA), gelatin, alginates, polyvinylpyrrolidone (PVP), methyl cellulose) and the solvent removed by either drying in vacuo or solvent extraction. Thus, solid, including solid-containing-liquid, liquid, and gel 35 (including "gel caps") compositions are envisioned.

Furthermore, the viral vector can be used in any desired immunization or administration regimen (e.g., as part of periodic vaccinations such as annual vaccinations) as in the veterinary arts or as in periodic vaccinations as in the human medical arts, or as in a prime-boost regimen wherein an inventive vector or recombinant is administered either before or after the administration of the same or of a different epitope of interest or recombinant or vector expressing such a same or different epitope of interest (including an inventive recombinant or vector expressing such a same or different epitope of interest).

Additionally, the viral vector or the expression products therefrom can stimulate an immune or antibody response in animals. From those antibodies, by techniques well-known in the art, monoclonal antibodies can be prepared and, those monoclonal antibodies, can be employed in well known antibody binding assays, diagnostic kits or tests to determine the presence or absence of antigen(s) and therefrom the presence or absence of the natural causative agent of the antigen or, to determine whether an immune response to that agent or to the antigen(s) has simply been stimulated. Monoclonal antibodies are immunoglobulin produced by hybridoma cells. A monoclonal antibody reacts with a single antigenic determinant and provides greater specificity than a conventional, serum-derived antibody. Furthermore, screening a large number of monoclonal antibodies makes it possible to select an individual antibody with desired specificity, avidity and isotype. Hybridoma cell lines provide a constant, inexpensive source of chemically identical antibodies and preparations of such antibodies can be easily standardized. Methods for producing monoclonal antibodies are well known to those of ordinary skill in the art, (e.g., Koprowski, H. et al., U.S. Pat. No. 4,196,265, issued Apr. 1, 1989). Uses of monoclonal antibodies are known. One such use is in

diagnostic methods (David, G. and Greene, H., U.S. Pat. No. 4,376,110, issued Mar. 8, 1983). Monoclonal antibodies have also been used to recover materials by immunoabsorption chromatography (Milstein, C., 1980, *Scientific American* 243:66, 70).

Furthermore, the viral vector or expression products therefrom can be used to stimulate a response in cells *in vitro* or *ex vivo* for subsequent reinfusion into a patient. If the patient is seronegative, the reinfusion is to stimulate an immune response (e.g., an immunological or antigenic response such as active immunization). In a seropositive individual, the reinfusion is to stimulate or boost the immune system against a pathogen.

The recombinants or vectors of the invention are also useful for generating nucleic acids for probes or for PCR primers which can be used to detect the presence or absence of hybridizable DNA or RNA or to amplify DNA or RNA, (e.g., to detect a pathogen in a sample).

Furthermore, the viral vector of the present invention can be generated and employed in a manner analogous to the methods for making and/or using and/or administering a vector, either *in vivo* or *in vitro*, see e.g., U.S. Patent Nos. 4,603,112, 4,769,330, 5,174,993, 5,505,941, 5,338,683, 5,494,807, 4,722,848, 5,942,335, 5,364,773, 5,762,938, 25 5,770,212, 5,942,235, 5,756,103, 5,766,599, 6,004,777, 5,990,091, 6,033,904, 5,869,312, 5,382,425, WO 94/16716, WO 96/39491, Paoletti, "Applications of pox virus vectors to vaccination: An update," PNAS USA 93: 11349-11353, October 1996, Moss, "Genetically engineered poxviruses for recombinant gene expression, vaccination, and safety," PNAS USA 93: 11341-11348, October 1996, Smith et al., U.S. Patent No. 4,745,051, Richardson, C.D. (Editor), Methods in Molecular Biology 39, "Baculovirus Expression Protocols" (1995 Humana Press Inc.), Smith et al., "Production of Human Beta Interferon in Insect Cells Infected with a Baculovirus

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Patent No. 5,677,178; Sambrook, Fritsch and Maniatis, Molecular Cloning, A Laboratory Manual, 2nd Ed., Cold Spring Harbor Laboratory Press, 1982 (e.g., procedures for producing heterologous peptides and methods of isolating and purifying heterologous peptides). Generally, recombinant DNA technology has enabled the expression of foreign (heterologous) proteins in microbial and other host cells. In this process, a vector containing genetic material directing a host cell to produce a protein encoded by a portion of a heterologous DNA sequence is introduced into the host, and the transformed host cells can be fermented and subjected to conditions which facilitate the expression of the heterologous DNA, leading to the formation of large quantities of the desired protein. Plasmids are extensively used as vectors to clone DNA molecules. Most plasmid vectors are made by taking DNA from a variety of replicons (plasmids, bacteriophage chromosomes and bacterial chromosomes) and joining the DNA together (using restriction enzymes and DNA ligase) to form a plasmid which has an origin of replication, a selection marker (usually an antibiotic-resistance gene) and a promoter for expressing genes of interest in the required host cell.

In the present invention, exogenous or heterologous or foreign DNA, e.g., encoding an immunogen(s) or epitope(s) or a fragment(s) is inserted into a plasmid vector encoding the genome of the attenuated B4 coxsackievirus. The sequence (e.g., coding sequence) to be expressed, is advantageously inserted in the correct relationship to a host-specific promoter and other transcriptional regulatory sequences and advantageously in the correct reading frame, so that the heterologous peptide is produced. Even more advantageously, the heterologous or foreign or exogenous sequence is inserted within the immunogenic coat region of the genome. The plasmid vector also can also contain sequences for efficient transcription or translation (e.g., the

Shine-Dalgamo Region for expression in bacterial cells, the Internal Ribosomal Entry Site (IRES) for efficient translation of a bicistronic message, promoter enhancer sequences to modulate expression levels and/or direct 5 expression within a particular cell type). Plasmid vectors can contain a transcription termination site 3' to the inserted gene(s) to ensure the mRNA produced avoids run on through the vector.

The present invention further relates to a composition 10 containing an inventive coxsackievirus and/or foreign peptide or protein expressed from the coxsackievirus, e.g., antigen or antigens or immunogen or immunogens or epitope or epitopes from expression of the inventive coxsackievirus, e.g. coxsackievirus CB4-P coat protein and/or HIV p24 15 immunogen(s) and/or epitope(s) thereof. The inventive coxsackievirus B4-P variant vector can contain exogenous or heterologous or foreign DNA, advantageously encoding any desired peptide or protein fragment or thereof, such as an antigen or immunogen or epitope. The composition or vector 20 can induce in a subject an immunogenic or immunological or therapeutic response; advantageously, the response is protective, e.g., against coxsackievirus pathogenesis, and/or the response is an immunogenic B and/or T cell 25 response. The response can be synergistic or improved in comparison with previous vectors or compositions.

The invention further relates to a method of inducing in a subject an immunogenic response that is protective against coxsackievirus pathogenesis. This response can be synergistic or improved in comparison with previous 30 compositions or vectors. For the purposes of this invention, an immunogenic response that is protective against coxsackievirus pathogenesis can be, for example, directed to treatment, prevention or delaying myocarditis, myositis or pancreatic disease such as pancreatitis or

diabetes mellitus, e.g., insulin-dependent diabetes (IDDM, Type I) or juvenile diabetes.

The therapeutic response can be, but does not have to be, the result of the activity of a medicament or of a gene 5 therapy or activation approach where, a foreign or heterologous sequence is introduced or delivered, e.g., *in vitro* into the cells or *in vivo*, via a coxsackieviral vector to provide expression of a desired gene or the induction thereof. Gene activation can be the introduction into a 10 cell of an ectopic copy of the gene under the control of an appropriate regulatory element or the activation of the endogenous gene within the cell.

In a preferred embodiment, the invention further relates to a CB4 vector which expresses an HIV polypeptide 15 or a fragment thereof, and also to expression products thereof, immunological, immunogenic or vaccine compositions therefrom, (e.g., subunit and/or multivalent vaccine compositions comprising of HIV and/or coxsackievirus epitopic region(s), and/or polypeptide(s) and/or 20 fragment(s), such as coxsackievirus CB4-P coat protein and/or HIV p24). Such viral vectors or compositions can be useful in the treatment and/or prevention of HIV.

Advantageously, the viral vector or composition containing said viral vector can provide immunogenic or immunological 25 responses against HIV. It is desired that these responses approach or achieve a protective immune response and/or are improved in comparison with prior compositions or vectors and/or exhibit synergy.

Such a viral vector is administered to infected 30 individuals, (e.g., seropositive individuals) to augment the immune response in a subject to the virus. Such a viral vector can also serve as a vaccine, and is administered to uninfected individuals to stimulate an immune response in a subject which is protective against the virus. The response 35 can be improved or synergistic with respect to prior

immunological or immunogenic compositions against HIV or an immunodeficiency virus because the antigen or epitope expressed *in vivo* or *in vitro* can include a T-cell activator such as a coxsackievirus coat protein (e.g., the CB4-P VP1 capsid protein). The viral vector can comprise a subunit of one or more HIV and/or HIV/CB4-P fusion proteins or fragments thereof, such as a HIV p24 or a fragment thereof. A vaccine composition made from the viral vector of the present invention can be multivalent, wherein the composition contains at least two epitopes-having different amino acid sequences.

The present invention is additionally described by way of the following illustrative, non-limiting Examples, that provide a better understanding of the present invention and of its many advantages.

#### Exemplification

##### Example 1 - CB4-P variant as a vector that can express peptides.

This Example shows that (a) a CB4-P variant can be used as a vector for expressing short peptides within a capsid protein and (b) a recombinant CB4-P variant can induce a CD4+ T cell response against the foreign sequence. Therefore, CB4-P can be used as an expression vector and insertion of heterologous peptides into an immunogenic region is a viable strategy for inducing T helper cell responses against the foreign sequence.

While the three-dimensional structure of coxsackievirus B3 has been solved (Muckelbauer et al., *Structure* 3: 653-667 (1995)), the antigenic structure of the group B viruses has not been well characterized. The high degree of sequence identity (72%) between the VP1 capsid proteins of B3 and B4 allows a prediction of the structure of VP1 of

coxsackievirus B4 based on a sequence- structure alignment (Halim and Ramsingh, *Virol.* 269: 86-94 (1999)). A major neutralization antigenic site, possibly analogous to site I of poliovirus, has been mapped to the predicted BC-loop of 5 VP1 of coxsackievirus B4 (Halim and Ramsingh, *Virol.* 269: 86-94 (1999)). In addition, the DE-loop of VP1 is within a conformational B-cell epitope, possibly analogous to the neutralization antigenic site 1B of poliovirus.

To determine if the DE-loop of VP1 also contains a T 10 helper cell epitope, CD4+ T cells from the spleens of mice infected with CB4-P were tested in a proliferation assay. Briefly, viral infectivity was assessed by plaque assay with LLC-MK2(D) cells. The passage histories of the two viral variants, CB4-P and CB4-V, have been described (Ramsingh et 15 al., *J. Virol.* 71(11): 8690-7 (1997)). Large scale stocks of CB4-P and CB4-V were prepared in HeLa cells and LLC-MK2(D) cells, respectively. Viral infectivity was assessed by plaque assay with LLC-MK2(D) cells. Two strains of mice were used; the B10.S(12R) mice are maintained in a 20 research laboratory and the BALB/c mice are bred in the Health Research Institute's Animal Core Facility. Mice were injected intraperitoneally with  $10^4$  pfu of virus and monitored daily. Mice were sacrificed at various times after infection and pancreases and spleens were harvested. 25 All animal procedures were approved by the Institutional Animal Care and Use Committee of the Wadsworth Center.

The proliferation assay was carried out against a peptide, 122P, spanning residues 122 to 136 of VP1. This peptide contains all but four residues of the DE-loop of 30 VP1. CD4+ T cells from the spleens of infected mice proliferated in response to peptide 122P (Figure 1A).

The DE-loop of VP1 of CB4-P was chosen as the site for insertion of foreign sequences since the loop is immunogenic, containing both T and B cell epitopes (Figure 35 1C), and is long, flexible and not highly conserved among

the group B viruses (Muckelbauer et al., *Structure* 3: 653-667 (1995); Halim and Ramsingh, *Virol.* 269: 86-94 (1999)).

The VP1 expression vector was constructed by inserting 5 two unique restriction enzyme sites (StuI, NarI) into a full-length infectious cDNA clone of CB4-P which has been described (Caggana et al., *J. Virol.* 67: 4797-803 (1993)) (Figure 2). Mutagenesis was accomplished using a recombinant PCR strategy. StuI and NarI sites were created 10 in two overlapping viral cDNA fragments at nucleotide positions 2827-2832 and 2855-2860, respectively. The two overlapping PCR products were denatured, allowed to anneal, and amplified in a third PCR using two internal primers. The resulting fragment was cloned into a full-length cDNA of 15 CB4-P to generate the cassette vector. Clones were screened initially by restriction enzyme analysis followed by DNA sequence analysis. Clones were sequenced in both the forward and reverse orientation by the dideoxy chain-termination method using an automated DNA sequencer (Applied Biosystems 20 373A or 377). At least 250 bp of sequence, flanking the site of interest, was analyzed. In addition, all recombinant viruses were subjected to RNA sequence analysis. Briefly, monolayers of LLC-MK2 (D) cells were infected at a multiplicity of infection of 0.2 pfu per cell. Total 25 cellular RNA was extracted by using Ultraspec (BioTecx) at 24 h post infection. After reverse transcription using random primers, specific regions of the viral cDNA were amplified by PCR and sequenced.

Introduction of the two cloning sites resulted in four 30 amino acid substitutions. A methionine residue at position 129 of VP1 of coxsackievirus B4 was shown to be an attenuating determinant while a threonine residue at the same position was shown to be a major determinant of virulence (Caggana et al., *J. Virol.* 67: 4797-803 (1993)). 35 In the cassette vector, met-129 is replaced by a leucine

residue by the insertion of the StuI site. To maintain the phenotype of the avirulent, parental virus, leu-129 of VP1 within the cassette vector was replaced with met-129 in the recombinants. The resulting clones lost the StuI site and  
5 regained a glutamic acid and a methionine residue at positions 128 and 129, respectively, of VP1.

An immunogenic ovalbumin peptide, OVA 323-339 which is I-A<sup>d</sup> restricted (Murphy et al., *Science* 250: 1720-1723 (1990)), was used as a test sequence for insertion into the  
10 CB4-P expression vector. Ovalbumin sequences representing successive COOH-terminal truncations of the OVA 323-339 peptide were cloned into the CB4-P cassette vector. Oligonucleotides encoding six to sixteen amino acids of ovalbumin sequence were inserted into the CB4-P cassette  
15 vector in order to construct chimeric viruses. To retain two viral amino acids at positions 128 and 129 of VP1, and to maintain the correct reading frame, oligonucleotide sequences began with AGATG and ended with GAGG.  
Oligonucleotide sequences plus their complements were  
20 synthesized by the Molecular Genetics Core Facility. Oligonucleotide pairs were annealed after boiling at 95°C for 5 minutes followed by incubation at 55°C for 10 minutes. Sequences were cloned, using standard methodologies, into the CB4-P cassette vector after digestion with StuI and  
25 NarI. Recombinants were screened initially by restriction enzyme analysis. Clones were identified based on the loss of the StuI site with retention of the NarI site. Clones were verified by DNA sequencing.

Recombinant viruses were obtained by transfecting  
30 LLC-MK2(D) cells with *in vitro*-derived RNA transcripts as described (Caggana et al., *J. Virol.* 67: 4797-803 (1993)). Briefly, after linearization of recombinant cDNAs with SacI, T3 RNA polymerase was used to make plus-sense viral RNA transcripts. Transfection was accomplished by  
35 electroporation. Viral RNA transcripts (25-35 µg) were

added to LLC-MK2(D) cells ( $2 \times 10^6$ ), which were resuspended in PBS lacking calcium and magnesium. Cells were shocked twice at 0.8kV (BiolRad GenePulser) and plated, in 5 duplicate, in 60 mm plates containing DMEM plus 5% fetal calf serum. Virus was harvested when cells exhibited 80 to 100% CPE. After plaque purification, viral stocks were grown in LLC-MK2(D) cells.

Viral replication was analyzed under single-step conditions. LLC-MK2(D) cells were infected at a multiplicity 10 of infection of 2-5 pfu per cell. After an adsorption period of 30 min. at 25°, the unadsorbed inoculum was removed and the monolayer was washed three times with phosphate-buffered saline. Virus was harvested after repeated freezing and thawing of infected cells. Viral 15 infectivity was determined by plaque assay.

Viral replication in B10 mice has been studied extensively (Ramsingh et al., *Virus Res.* 14: 347-58 (1989); Ramsingh et al., *J. Virol.* 71(11): 8690-7 (1997)). Replication of the chimeric viruses was analyzed in 20 B10.S(12R) mice. Mice were infected as described above. At various times after infection, pancreatic tissue homogenates were prepared and assayed for infectivity by plaque assay as previously described (Ramsingh et al., *J. Virol.* 71(11): 8690-7 (1997)).

25 Viability of the chimeric viruses was dependent on the size of the inserted sequence. Of 4 CB4-P/ova chimeric cDNAs, viable virus was obtained from 2 constructs containing six (323-328) and ten (323-332) amino acids of ovalbumin sequence (CB4-P/ova6, CB4-P/ova10) (Table 1).

TABLE I. Summary of viability and genetic stability of recombinant, chimeric coxsackieviruses.

Virus	Inserted Sequence	Viability	Retention of insert
CB4-P/ova6	ISQAVH	+	No
CB4P/ova10	ISQAVHAAHA	+	Yes
CB4P/ova14	ISQAVHAAHAEINE	-	
CB4P/ova16	ISQAVHAAHAEINEAG	-	

Insertions of 14 and 16 amino acids did not yield viable viruses. The genetic stability of CB4-P/ova6 and CB4-P/oval10 was assessed by sequencing viral genomic RNA after multiple rounds of replication. After two passages in 5 cell culture, the CB4-P/ova6 recombinant had lost its inserted sequence. The CB4-P/ova10 recombinant, however, was genetically stable since it retained the ovalbumin sequence after six passages in cell culture (Figure 2). At least 250 bp of sequence on either side of the insertion 10 site was analyzed and mutations were not observed in the surrounding sequences for either CB4-P/ova6 or CB4-P/oval10. The CB4-P/oval10 recombinant was also grown in mice. Virus was harvested from the pancreatic tissues of infected mice, amplified in cell culture and assessed for genetic 15 stability. The recombinant retained the inserted sequence after replication in cell culture and in mice.

Structural analysis suggests that the DE-loop of VP1 of coxsackievirus B3 plays a role in the physical stability of the virion (Muckelbauer et al., *Structure* 3: 653-667 20 (1995)). Disruption of this loop may alter viral stability and hence viral replication. The physical stability of the recombinant was assessed by thermal inactivation. At 44°C, the CB4-P variant was more thermostable than a virulent variant, CB4-V. The kinetics of inactivation of CB4-P/ova

10 were similar to that of the more thermostable virus, CB4-P (Figure 3).

To determine if the insertion of heterologous sequences into the VP1 capsid protein of CB4-P affected replication, 5 the growth kinetics of the recombinant was analyzed in cell culture and in mice. The kinetics of replication of CB4-P/ova10 in cell culture were similar to that of CB4-P under single-step conditions (Figure 4A). Previous studies have shown that the pancreas is a major site of replication 10 for CB4-P which induces a transient pancreatitis (inflammation of the exocrine pancreas) (Caggana et al., *J. Virol.* 67: 4797-803 (1993); Ramsingh et al., *J. Virol.* 71(11): 8690-7 (1997)). The kinetics of viral replication 15 in the pancreatic tissues of mice infected with CB4-P/ova10 were similar to that observed with CB4-P (Figure 4B). Viral titers peaked two days after infection. Infectious virus was no longer detected ten days after infection.

Previous studies showed the DE loop of VP1 of coxsackievirus B4 to have an influence on virulence (Caggana 20 et al., *J. Virol.* 67: 4797-803 (1993)). To test whether disruption of the DE loop affected pathogenicity, mice were infected with the chimeric virus. Male BALB/c mice were used since they succumb to infection with the virulent 25 variant, CB4-V, but survive infection with CB4-P (Ramsingh et al., *J. Virol.* 73:(4) 3080-3086 (1999)). Mice survived infection with CB4-P/ova10. During the four week follow-up, infected mice were well-groomed and appeared healthy.

The ten amino acids of ovalbumin sequence expressed 30 within CB4-P/ova10 contained the core sequence (<sup>327</sup>VHAAHA<sup>332</sup>) that is critical for binding of the ovalbumin peptide to the MHC class II molecule, I-A<sup>d</sup> (Hunt et al., *Science* 256: 1817-1820 (1992)). In order to determine whether the 35 ovalbumin sequence within the recombinant was able to elicit a T helper cell response, a proliferation assay was carried

out using infected BALB/c (H-2<sup>d</sup>) mice. BALB/c mice were injected intraperitoneally with 10<sup>4</sup> pfu of CB4-P/oval10 or CB4-P. Spleens were harvested two weeks later. Briefly, red blood cells were removed from spleen cell suspensions by hypotonic lysis in 0.15 M ammonium chloride. B cells were removed by magnetic separation after incubation with rabbit-anti-mouse Ig and goat-anti-rabbit IgG coated magnetic beads (Advanced Magnetics, Cambridge, MA). Residual B cells, macrophages, and CD8+ T cells were lysed after 5 incubation with monoclonal antibodies (J11D, M1/70, anti-lyt2) and complement. The purification protocol yielded a CD4+ T cell preparation that was 50-75% pure. Antigen presenting cells (APC), consisting primarily of B cells and macrophages, were purified from the spleens of 10 uninfected BALB/c mice by negative selection (Coligan et al., Current Protocols in Immunology. New York: John Wiley & Sons, 1996). Red blood cells were removed from spleen cell suspensions by hypotonic lysis. T cells were lysed after 15 incubation with anti-thyl.2 and complement. To prevent proliferation, the APC (macrophages and B cells) were treated with mitomycin C (25 µg/ml) for 20 minutes at 37°. The CD4+ T cells (2 X 10<sup>5</sup>) and APC (2 X 10<sup>5</sup>) were cultured 20 in the presence of peptide (0-5 µg/well) or 5 X 10<sup>4</sup> pfu of heat-inactivated virus (56° for 1 hour), in 96-well round bottom plates (Corning). (Peptides were synthesized by the Peptide Synthesis Core Facility using an Applied Biosystems 431A or 432A peptide synthesizer). After 4 days, cells were pulsed with 1 µCi of [<sup>3</sup>H] methylthymidine (5 Ci/mmol) per 25 well for 17 hours. Cells were harvested and lysed using an automatic cell harvester (Tomtec Cell Harvester 96). [<sup>3</sup>H] thymidine incorporation was used as the indicator of CD4+ T cell activation.

CD4+ T cells recognize peptide fragments of 13 to 17 30 amino acids (Janeway and Travers, Immunobiology: The Immune System in Health and Disease. Third ed. London, New York:

Current Biology Ltd./Garland Publishing Inc. 1997). Since 10 amino acids of ovalbumin sequence are present within the recombinant virus, we examined T cell proliferation against a chimeric peptide, ova/virus14, containing ten amino acids of ovalbumin sequence and four amino acids of viral sequence. T cell proliferation against the original 17-amino acid ovalbumin peptide, OVA 323-339 was also tested. T cells from CB4-P/ova10 infected mice proliferated in response to both the chimeric ova/virus14 peptide and the OVA 323-339 peptide, but not to an unrelated peptide (Figure 5). T cells from uninfected mice or mice infected with the CB4-P parental strain did not proliferate in response to the chimeric ova/virus14 peptide, the OVA 323-339 peptide or an unrelated peptide (Figure 5).

15 Example 2 - Eliciting CTL and CD4+ T helper cell responses.

Two strategies designed to elicit CTL and CD4+ T helper cell responses were used to construct CB4-P/HIV gag p24 recombinants. In one design, gag p24 peptides were expressed within a surface loop of the VP1 capsid protein (Halim et al., *Vaccine* 19: 958-965 (2001)). To elicit a CTL response, the foreign peptide need not be present within the recombinant. In the second design, gag p24 sequences were expressed at the amino-terminus of the CB4-P polyprotein. Recombinant viruses were viable, genetically stable, and retained the avirulent phenotype of the parental virus.

Interference with administration of the recombinant virus due to pre-existing immunity to the vector did not occur. Prior exposure to the parental CB4-P virus did not affect the ability of the recombinant to induce a CD4+ T cell response against the foreign sequence. The CB4-P/ova10 chimera was able to elicit a CD4+ T cell response against ovalbumin sequences. In addition, the recombinant virus is capable of inducing a CD4+ T cell response against ovalbumin

sequences in mice that had been previously infected with the CB4-P parental virus.

The attenuated CB4-P variant has been characterized extensively in immunocompetent strains of mice, SJL, B10, and BALB/c (Ramsingh et al., *Virus Res.* 14: 347-58 (1989); Caggana et al., *J. Virol.* 67: 4797-803 (1993); Ramsingh et al., *J. Virol.* 71(11): 8690-7 (1997)). CB4-P induces a mild, transient inflammation of the pancreas which is followed by repair of the damaged tissues (Chapman et al., *Curr. Topics Microbiol. Immunol.*: 223227-58 (1997)). Viral titers peak in pancreatic tissues two days after infection. Infectious virus is cleared within seven-to-ten days of infection (Ramsingh et al., *J. Virol.* 71(11): 8690-7 (1997)). Infected mice appear healthy and are well-groomed.

The molecular basis of attenuation has been determined using a panel of recombinant, chimeric viruses constructed from the avirulent CB4-P variant and a closely related variant, CB4-V, which is virulent (Caggana et al., *J. Virol.* 67: 4797-803 (1993); Ramsingh et al., *Virus Res.* 23: 281-92 (1992)). Depending on the mouse strain, CB4-V infection can be lethal (Janeway and Travers, *Immunobiology: The Immune System in Health and Disease*. Third ed. London, New York: Current Biology Ltd./Garland Publishing Inc. 1997). To determine if CB4-P retained its avirulent phenotype in immunodeficient mice, mice lacking CD4+ T cells (CD4 ko), IL-4 (IL-4 ko), or  $\gamma$ -interferon ( $\gamma$ -IFN ko) as well as mice with a restricted T cell repertoire (D011.10) were infected and monitored for four weeks (Murphy et al., *Science*: 2501720-3 (1990)). Several strains of mice were used; the three B 10 H-2 congenic strains, B10.S(12R), B10.T(6R), and B10.Q, are maintained in a research laboratory facility, the BALB/c mice are bred in the Health Research Institute's Animal Core Facility and the knockout (ko) and transgenic lines are maintained by Dr. William Lee at the Wadsworth Center. All of the ko strains, CD4 ko, IL-4 ko, and

$\gamma$ -interferon ko, and the transgenic line, D011.10, are on a BALB/c genetic background (Murphy et al., *Science*: 2501720-3 (1990); Killeen et al., *EMBO J.*: 121547-53 (1993); Noben-Trauth et al., *Science*: 271987-90 (1996); Dalton et 5 al., *Science*: 2591739-45 (1993)). Most of the CD4+ T cells of the D011.10 strain express a T-cell receptor that recognizes an ovalbumin peptide, OVA 323-339. All infected mice appeared healthy and survived infection (Table 1). As a historical control, mortality rates for mice infected with 10 a virulent variant, CB4-V, are included (Janeway and Travers, *Immunobiology: The Immune System in Health and Disease*. Third ed. London, New York: Current Biology Ltd./Garland Publishing Inc. 1997). Infectious CB4-P was cleared within one week of infection in BALB/c mice and 15 within two weeks of infection in the immunodeficient.

TABLE I Infection with CB4-P.

Mouse Strain	Mortality (%)	
	CB4-P	CB4-V
SJL	0	0
B10.T(6R)	0	100
B10.S(12R)	0	0
B10.Q	0	55
BALB/c	0	67-100*
CD4 ko	0	0
IL-4 ko	0	21-47*
$\gamma$ -IFN ko	0	21-100*
D011.10	0	0

Groups of 8- 10 mice were infected with  $10^4$  pfu of CB4-P; \* gender difference in survival.

While the CB4-P variant is attenuated, the virulent variant, CB4-V, induces a chronic pancreatitis which results in extensive destruction of the exocrine pancreas (Caggana et al., *J. Virol.* 67: 4797-803 (1993); Ramsingh et al., *J. Virol.* 71(11): 8690-7 (1997)). B10.T(6R) and male BALB/c mice succumb to infection within two weeks (Ramsingh et al., *Virus Res.* 14: 347-58 (1989); Janeway and Travers, *Immunobiology: The Immune System in Health and Disease*. Third ed. London, New York: Current Biology Ltd./Garland Publishing Inc. 1997). To determine if CB4-P can protect against infection with CB4-V, both strains of mice were tested by infection with CB4-P and two weeks later, with CB4-V. During the two-week follow-up after the second injection, all mice receiving CB4-P followed by CB4-V appeared healthy and had gained weight. Control mice infected with only CB4-P appeared healthy, but mice infected with CB4-V alone, by contrast, lost weight and became inactive. At the end of the two-week follow-up, mice were sacrificed and pancreatic tissues were processed for routine histology (Figure 6). Pancreatic tissues from mice infected with CB4-V showed complete destruction of the acini with extensive calcification (Figure 6C). A focal inflammatory infiltrate consisting primarily of mononuclear cells was evident. Pancreatic tissues from mice given CB4-P alone or both CB4-P and CB4-V appeared normal (Figure 6B,D).

Recombinants containing 9 and 10 amino acids of HIV p24 sequences (<sup>104</sup>IAGTTSTLQ<sup>112</sup>, <sup>148</sup>SSILD<sup>156</sup>DIRQG<sup>156</sup>, <sup>74</sup>NEEAAEW<sup>83</sup>DRL) were constructed in a similar manner. The cloning strategy is outlined in Figure 7. Oligonucleotides encoding nine (<sup>104</sup>IAGTTSTLQ, <sup>148</sup>SSILD<sup>156</sup>DIRQG) and ten amino acids (<sup>74</sup>NEEAAEW<sup>83</sup>DRL) of HIV gag p24 sequences were inserted into the CB4-P cassette vector as previously described (Noben-Trauth et al., *Science*: 271987-90 (1996); Henderson et al., *J. Virol.* 66(4): 1856-65 (1992)). To retain two viral amino acids at positions 128 and 129 of VP1, and to maintain the correct

reading frame, oligonucleotide sequences began with AGATG and ended with GAGG. Oligonucleotide sequences plus their complements were synthesized by the Molecular Genetics Core Facility. After the annealing reaction, oligonucleotide 5 pairs were cloned, using standard methodologies, into the CB4-P cassette vector after digestion with StuI and NarI. Recombinants were screened initially by restriction enzyme analysis. Clones were identified based on the loss of the StuI site with retention of the NarI site. Clones were 10 verified by DNA sequencing. To confirm the presence of HIV sequences within the viral recombinants, limited sequence analysis of viral genomic RNAs was carried out (Caggana et al., *J. Virol.* 67: 4797-803 (1993)). Briefly, LLC-MK2 (D) cells were infected at a multiplicity of 0.2 pfu per cell. 15 Total RNA was extracted 24 h later using Ultraspec RNA reagent (BioTecx). After reverse transcription using random primers, specific regions of the viral cDNA were amplified by PCR and sequenced. RNA from infected cells was sequenced at least twice.

20 RNA transcripts were then used to transfect LLC-MK2 (D) cells by electroporation (Noben-Trauth et al., *Science*: 271987-90 (1996)).

Briefly, 25-35  $\mu$ g of RNA were added to  $2 \times 10^6$  cells 25 resuspended in calcium- and magnesium-free phosphate buffered saline. The cell suspension was subjected twice to an electric field of 0.8kV/cm for 3 sec at room temperature using a GenePulser electroporator (Bio-Rad). The cell suspension was plated in two 60 mm tissue culture dishes containing DMEM and 5% fetal calf serum and incubated at 37 $^{\circ}$  30 C. Virus was harvested when cells exhibited 80- 100% cytopathic effects (CPE). Cells and media were collected and subjected to three cycles of freezing and thawing to release cell-associated virus. After a clarifying spin at 1400g for 15 min at 4 $^{\circ}$  C to remove cellular debris, the 35 supernate was collected and filtered through a 0.2  $\mu$ M

filter. Recombinant viruses were plaque-purified on LLC-MK2(D) cells. Large-scale stocks of plaque-purified recombinant viruses were prepared in LLC-MK2(D) cells.

Viable virus was obtained from all three of the  
5 CB4-P/HIV chimeric cDNAs. The chimeric viruses,  
CB4-P/HIV<sub>9<sup>104</sup></sub>, CB4-P/HIV<sub>9<sup>148</sup></sub>, and CB4-P/HIV<sub>10<sup>74</sup></sub>, retained their  
inserts after six passages in cell culture (Figure 8). In  
addition, the three chimeric viruses were genetically stable  
after passage in vivo. The pathogenicity of the  
10 recombinants was assessed in male BALB/c mice since they  
succumb to infection with the virulent variant, CB4-V, but  
survive infection with CB4-P29. During the four week  
follow-up, mice infected with the chimeric viruses were  
well-groomed and appeared healthy indicating that the  
15 recombinants retained the avirulent phenotype of the  
parental CB4-P variant.

To elicit a CTL response, the foreign peptide need not  
be expressed as a structural component of CB4-P. In this  
strategy, foreign sequences are fused to the amino-terminus  
20 of the viral polyprotein and subsequently cleaved by a viral  
protease. The foreign sequences remain in the infected cell  
and are not incorporated into the virion.

A cassette vector that allowed insertion of foreign  
sequences at the amino terminus of the viral polyprotein was  
25 constructed (Figure 7). The vector was made by inserting a  
sequence (18 bp) containing a unique restriction enzyme  
site, MluI, and a predicted recognition site for cleavage by  
the 3C protease, immediately after the initiator codon of  
the VP4 sequence. Briefly, the 18 bp sequence was inserted  
30 into a viral cDNA fragment by recombinant PCR. The  
amplified DNA was then used to replace the corresponding  
segment of a viral subclone containing the 5'UTR and the P I  
region of CB4-P within an XbaI-HindIII fragment (Caggana et  
al., *J. Virol.* 67: 4797-803 (1993)). Another subclone  
35 contained the P2, P3, and 3'UTR of CB4-P within a

HindIII-SacI fragment (Caggana et al., *J. Virol.* 67: 4797-803 (1993)). The full-length cassette vector was constructed by ligating the XbaI-HindIII and the HindIII-SacI fragments simultaneously into pBSKS+ (Stratagene) as described previously (Caggana et al., *J. Virol.* 67: 4797-803 (1993)). Clones were screened initially by restriction enzyme analysis followed by DNA sequence analysis to confirm the presence of the 18 bp insert in the cDNA clone of the viral vector.

10 Virus, obtained from the cDNA clone of the vector, was passaged three times in cell culture and analyzed by sequencing the relevant region of the viral RNA. While the 18 base insert was retained in the virus vector, a point mutation was observed within the VP4 sequence at nucleotide 15 position 768 (Figure 7).

10 HIV gag p24 sequences were inserted into the CB4-P viral vector. To retain two viral amino acids at positions 128 and 129 of VP1, and to maintain the correct reading frame, sequences to be cloned began with AGATG and ended with GAGG. Sequences encoding amino acids 61- 95, 61-122, and 61-180 of HIV gag p24 were amplified by PCR from a plasmid, HIV-gpt, which is replication-defective (Page et al., *J. Virol.* 64(11): 5270-6 (1990)). The HIV-gpt plasmid was kindly provided by Dr. Harold Burger (Wadsworth Center).

20 To facilitate cloning of the p24 sequences, primers containing MluI recognition sites were used. The PCR products were cloned into the CB4-P polyprotein vector using standard methodologies. Clones were screened by restriction enzyme analysis and verified by DNA sequence analysis.

25 Recombinant viruses were made as described above.

30 Since the size of the insert could affect viability and genetic stability, inserts of varying lengths were cloned into the viral vector. Inserts of 105, 186, and 360 nucleotides were cloned into the CB4-P vector. Viable viruses were obtained from all three constructs. The

genetic stability of the recombinants was assessed initially by RT-PCR analysis after multiple passages in cell culture. After three passages, the CB4-P/HIV120 recombinant yielded at least two amplified products corresponding to retention of the 360 bp insert and loss of the entire insert. In contrast, after six passages, both CB4-P/HIV35 and CB4-P/HIV62 yielded single amplified products corresponding to insert sizes of 105 and 186 base pairs, respectively. The amplified products were characterized further by DNA sequencing. The CB4-P/HIV35 and CB4-P/HIV62 recombinants contained 105 and 186 nucleotides, respectively, of the p24 sequence (Figure 9). Again, point mutations were observed at the same site, within the VP4 sequence of both recombinants. Like the virus vector, CB4-P/HIV62 contained an alanine residue at position two of VP4 while CB4-P/HIV35 contained a serine residue at the same position. Both recombinants were genetically stable after passage *in vivo*. The pathogenicity of the recombinants was assessed in male BALB/c mice. Mice survived infection with CB4-P/HIV35 and CB4-P/HIV62 and appeared healthy and gained weight.

To determine if cells infected with CB4-P/HIV35 and CB4-P/HIV62 were able to express and correctly process viral and foreign polypeptides, cell lysates were analyzed by immunoprecipitation. Cells were infected for five hours, labeled with [<sup>35</sup>S] methionine, and proteins were immunoprecipitated with an anti-coxsackievirus or anti-gag p24 antibody. Briefly, LLC-MK2(D) cells grown in 60 mm plates were infected with virus (m.o.i.=5) and incubated for 5 h at 37° C. Cells were washed twice with PBS and incubated with cysteine/methionine- free D-MEM plus 5% fetal calf serum for 30 min at 37° C. [<sup>35</sup>S] methionine (50 µCi/ml; Amersham) was added to the cells. After 1 hour at 37° C, cells were washed twice with PBS and lysed in 0.5 ml of NP-40 lysis buffer (1% Nonidet P-40, 50 mM Tris-HCl, pH 7.6, 150 mM NaCl, 2 mM EDTA, 1 mM phenylmethylsulfonyl

fluoride, 1 $\mu$ M leupeptin, 1 $\mu$ M pepstatin A, 2  $\mu$ g/ml aprotinin). Cell lysates were clarified by centrifugation at 100,000g for 1 h at 4 $^{\circ}$  C.

Immunoprecipitations were carried out by incubating 5 aliquots (100  $\mu$ l) of cell lysates with various antibodies for 1 hour at 4 $^{\circ}$  C on a rocking platform. Normal horse serum and horse anti-CB4 polyclonal antibody (ATCC) were used at final concentrations of 1:300. Normal mouse serum and mouse anti-gag p24 monoclonal antibody (NEN Life Science 10 Products, Inc.) were used at final concentrations of 1:500. Protein A-sepharose beads (Amersham) were added and samples were incubated at 4 $^{\circ}$  C for 30 minutes. Beads were washed four times with the NP-40 lysis buffer, resuspended in SDS-loading buffer, and run on an SDS-10% polyacrylamide 15 gel.

Both CB4-P/HIV35 and CB4-P/HIV62 were able to produce and process viral capsid proteins in a manner similar to that observed for CB4-P (Figure 10). However, the recombinants produced larger versions of the P1 capsid 20 precursor and VP0 (lanes D,E), both of which contained gag p24 sequences (lanes H,I). A 70 kD protein was detected in lysates immunoprecipitated with the anti-coxsackievirus antibody (lanes C,D,E). The molecular size of this protein suggests that it is an incompletely processed P1 recursor 25 containing VP0 and VP3. Since the 70 kD protein was not detected by the anti-gag p24 antibody, this suggests that the gag p24 sequences were already cleaved. Both recombinants were also able to process the larger VP0 to produce a wild-type VP0 (lanes C,D,E) and presumably the gag 30 p24 sequence, which was too small to be detected on these gels. Expression of the VP1 and VP3 capsid proteins of the recombinants appeared similar to that observed for CB4-P.

CB4-P/oval10 was shown to elicit a T helper cell response in mice previously infected with CB4-P. BALB/c 35 mice, infected with 10<sup>4</sup> pfu of CB4-P, cleared infectious

virus and generated an anti-viral IgG response within one week of infection. For the double infections, BALB/c mice were first infected with  $10^4$  pfu of CB4-P and then, two weeks later, were injected with  $10^5$  pfu of the CB4-P/ova10 recombinant.

A proliferation assay was used to monitor the CD4+ T cell response to ovalbumin sequences in infected mice. To determine if the test recombinant, CB4-P/ova10, could elicit a T cell response in mice previously infected with CB4-P, 10 BALB/c mice were injected intraperitoneally with  $10^4$  pfu of CB4-P and then, two weeks later, with  $10^5$  pfu of the recombinant. Spleens were harvested two weeks later and CD4+ T cells were purified. The purification protocol yielded a CD4+ T cell preparation that was 50-75% pure. 15 Antigen presenting cells (APC), consisting primarily of B cells and macrophages, were purified from the spleens of uninfected BALB/c mice by negative selection (Coligan et al., Current Protocols in Immunology. New York: John Wiley & Sons, 1996). To prevent proliferation, the APC were treated 20 with mitomycin C (25  $\mu$ g/ml) for 20 min at 37°. The CD4+ T cells ( $2 \times 10^5$ ) and APC ( $2 \times 10^5$ ) were cultured in the presence of peptide (0-5  $\mu$ g/well) or  $5 \times 10^4$  pfu of heat-inactivated virus, in 96-well round bottom plates (Corning). (Peptides were synthesized by the Peptide 25 Synthesis Core Facility using an Applied Biosystems 431A or 432A peptide synthesizer). After 4 days, cells were pulsed with 1  $\mu$ Ci of [<sup>3</sup>H] methylthymidine (5 Ci/mmol) per well for 17 hours. Cells were harvested and lysed using an automatic cell harvester (Tomtec Cell Harvester 96). [<sup>3</sup>H] thymidine 30 incorporation was used as the indicator of CD4+ T cell activation. CD4+ T cells from the spleens of the doubly-infected mice proliferated in response to ovalbumin sequences, but not to an unrelated peptide (Figure 11). T cells from uninfected mice or mice infected with only the

CB4-P parental strain did not proliferate in response to the ovalbumin sequences.

Example 3 - Recombinants retain characteristics of parental CB4-P variant.

5 In this Example, the recombinants are shown to retain the biological and physical characteristics of the parental CB4-P variant.

Three recombinants were generated which express nine (CB4-P/HIV9<sub>104</sub>, CB4-P/HIV9<sub>148</sub>) and ten amino acids -

10 (CB4-P/HIV10<sub>74</sub>) of gag p24 as structural peptides, while two recombinants expressed thirty-five (CB4-P/HIV35) and sixty-two (CB4-P/HIV62) amino acids as non-structural sequences (Halim et al., *AIDS Research and Human Retroviruses* 16(15): 1551-1558 (2000)), as described in

15 Example 2.

The thermal stability of the coxsackie/HIV recombinants (CB4-P/HIV35, CB4-P/HIV62, CB4-P/HIV91) was assessed by heat inactivation at 44°C. At 44°C, the parental CB4-P variant was more thermostable than a virulent variant, CB4-V. Both CB4-P/HIV35 and CB4-P/HIV62 retained the thermostable phenotype of the parental CB4-P variant while the CB4-P/HIV9<sub>104</sub> recombinant was inactivated more rapidly than CB4-P, but less rapidly than CB4-V (Figure 12).

20 To determine if the insertion of foreign sequences into CB4-P affected replication, the growth kinetics of the recombinants were analyzed in cell culture and in mice. The kinetics of replication of CB4-P/HIV9<sub>104</sub> were similar to that of CB4-P under single step conditions (Figure 13A). The kinetics of replication for CB4-P/HIV35 and CB4-P/HIV62 were also similar to that of CB4-P, but overall replication was less than that of the parental virus (Figure 13A). The CB4-P/HIV35 recombinant replicated less well than the CB4-P/HIV62 recombinant. Replication of CB4-P/HIV35 was

10-to-100-fold less than that of CB4-P. Replication of CB4-P/HIV62 was 5-to-10-fold less than that of CB4-P.

Previous studies have shown that the pancreas is a major site of replication for CB4-P, which induces a mild, 5 transient pancreatitis (Caggana et al., *J. Virol.* 67: 4797-803 (1993); Ramsingh et al., *J. Virol.* 71(11): 8690-7 (1997)). Viral replication in the pancreatic tissues of mice infected with the recombinants was assessed. The kinetics of replication for the three recombinants were 10 similar to that of CB4-P. Viral titers peaked two days after infection and infectious virus was cleared by ten days after infection (Figure 13B). As was observed in cell culture, overall replication of CB4-P/HIV<sub>104</sub> in the pancreas was similar to that of CB4-P, while replication of 15 CB4-P/HIV35 and CB4-P/HIV62 was lower than that of CB4-P. Early in infection both CB4-P/HIV35 and CB4-P/HIV62 replicated less well than CB4-P. During the first two days of infection, viral titers were 100-fold less in CB4-P/HIV35- and CB4-P/HIV62 -infected tissues than in 20 CB4-P-infected tissues. Titers of the recombinants, at four and seven days after infection, were similar to that of CB4-P.

To determine if decreased viral replication correlated with less tissue injury, pancreatic damage during infection 25 with either CB4-P/HIV35 or CB4-P/HIV62 was assessed by routine histology and compared to that induced by the parental CB4-P variant. As has been reported, infection with CB4-P results in a mild, transient inflammation of the exocrine pancreas (pancreatitis) while the endocrine 30 pancreas, the islets of Langerhans, appears normal at the light microscopic level (Chapman et al., *Curr. Topics Microbiol. Immunol.*: 223227-58 (1997)). During infection with either recombinant, the extent of pancreatic tissue damage was less than that observed with the CB4-P variant 35 (Figure 14) and may reflect the overall lower viral titers

in these tissues. A focal inflammatory infiltrate consisting primarily of mononuclear cells was evident from four to seven days after infection, yet the pancreatic architecture remained intact with minimal acinar cell 5 necrosis (Figure 14A, B and C). By ten days after infection, when infectious virus was no longer present, the inflammatory response had subsided and the pancreatic architecture appeared normal (Figure 14D, E and F). Decreased viral replication correlated with less overall 10 tissue damage. The data suggest that CB4-P/HIV35 and CB4-P/HIV62 are even less pathogenic than the avirulent CB4-P.

The results show that insertion of foreign sequences within the genome of CB4-P does not alter the physical 15 stability of the recombinants. In addition, expression of a short peptide within the VP1 capsid does not alter the ability of the recombinant to replicate in cell culture or in mice. However, expression of longer sequences at the amino-terminus of the polyprotein results in decreased 20 replication both *in vitro* and *in vivo* and correlates with diminished pathogenicity. The CB4-P/HIV recombinants retain the biological and physical properties of the attenuated CB4-P variant, which appears uniquely suited as a viral vector for a therapeutic HIV vaccine. Ongoing studies are 25 focused on the immunogenicity of the recombinants. Should the CB4-P/HIV recombinants be able to elicit HIV-specific CD4+ T helper and CTL responses, a possible therapeutic vaccine strategy is a "cocktail" of recombinants expressing gag p24 sequences as structural and non-structural 30 components.

Having thus described in detail preferred embodiments of the present invention, it is to be understood that the invention defined by the appended claims is not to be limited to particular details set forth in the above 35 description as many apparent variations thereof are possible

without departing from the spirit or scope of the present invention.